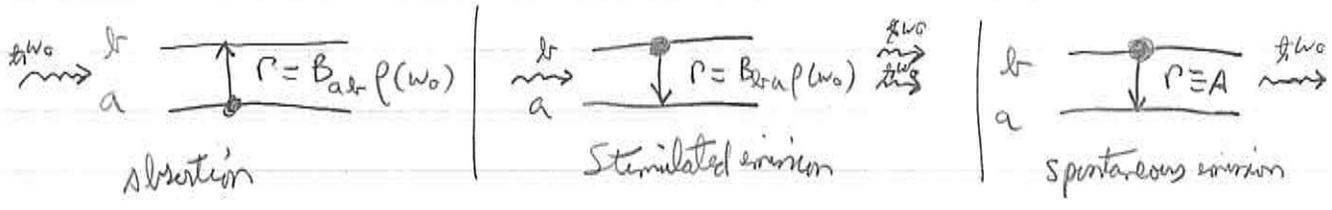


# P423 - Lecture 19 : Spontaneous emission, selection rules



Calculation of spontaneous emission rate using the idea of detailed balance: That the value of A must be set so that the equilibrium population of photons is described by the

blackbody formula:

$$\rho(\omega_0) = \frac{8\pi^2}{15c^3} \frac{\omega^3}{e^{\frac{h\nu}{k_B T}} - 1}$$

Assume there are  $N_a$  atoms in the state a, and  $N_b$  in state b. The rate of change of  $N_b$  is given by:

Note: A has dimension of  $\frac{1}{\text{time}}$ !

$$\frac{dN_b}{dt} = \underbrace{-N_b A}_{\text{Spontaneous emission out of level b}} - \underbrace{N_b B_{ba} \rho(\omega_0)}_{\text{Stimulated}} + \underbrace{N_a B_{ab} \rho(\omega_0)}_{\text{absorption from a to b}}$$

And  $N = N_a + N_b$  (# of atoms).

This rate eqn gives a simple description of out of equilibrium dynamics when  $t \rightarrow \infty$ , i.e. if at  $t=0$  the system starts with a  $N_a, N_b$  distribution different from thermal equilibrium.

We reach a steady state with  $\frac{dN_b}{dt} = 0$ :

$$0 = -N_b A - N_b B_{ba} \rho(\omega_0) + N_a B_{ab} \rho(\omega_0)$$

2)

$$\Rightarrow \rho(\omega_0) = \frac{N_a A}{N_a B_{ab} - N_b B_{ba}} = \frac{A}{\left(\frac{N_a}{N_b}\right) B_{ab} - B_{ba}}$$

But from our discussion last week we had  $\begin{cases} B_{ba} = \frac{\pi}{3\epsilon_0 \hbar^2} |\vec{P}_{ba}|^2 \\ B_{ab} = \frac{\pi}{3\epsilon_0 \hbar^2} |\vec{P}_{ab}|^2 \end{cases} \Rightarrow B_{ba} = B_{ab}$

$$\text{so: } \rho(\omega_0) = \frac{(A/B_{ba})}{\left(\frac{N_a}{N_b}\right) - 1} = \frac{(A/B_{ba})}{e^{\frac{E_a - E_b}{k_B T}} - 1}$$

If we believe in Boltzmann, we must have:  $\left(\frac{N_a}{N_b}\right) = e^{\frac{E_a - E_b}{k_B T}} = e^{\frac{\hbar\omega_0}{k_B T}}$

And if we believe in Planck:

$$\frac{A}{B_{ba}} = \frac{\hbar}{\pi^2 c^3} \omega_0^3 \Rightarrow A = \frac{\hbar}{\pi^2 c^3} \omega_0^3 \frac{\pi}{3\epsilon_0 \hbar^2} |\vec{P}_{ba}|^2 = \frac{\omega_0^3 |\vec{P}_{ba}|^2}{3\pi\epsilon_0 \hbar c^3}$$

This turns out to be a general result: the spontaneous emission rate from a level  $i$  to any level  $f$  with  $E_i - E_f = \hbar\omega$  is given by:

$$P_{i \rightarrow f}(\omega) = \frac{\omega^3}{3\pi\epsilon_0 \hbar c^3} |\vec{P}_{if}|^2 \quad \text{when} \quad \vec{P}_{if} = \langle \psi_i | q \vec{r} | \psi_f \rangle$$

If there are more than one final level:

$$P_{\text{out of } i} = \sum_f P_{i \rightarrow f}$$

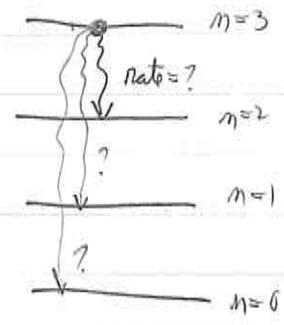
and since  $\frac{dN_i}{dt} = -N_i P_{\text{out of } i}$  (assuming no process for replenishing the level):

$$\Rightarrow N_i = N_i(0) e^{-P_{\text{out of } i} t} \quad (\text{This is the case for isolated atoms}).$$

Example: decay rates for harmonic oscillator

In 1d,  $H_0 = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega_0^2 x^2$  and  $H_0 |m\rangle = \hbar \omega_0 (m + \frac{1}{2}) |m\rangle$ , where  $m = 0, 1, 2, \dots$

Assume system starts out at state  $|m\rangle$ . What is the rate for it to spontaneously emit a photon and decay to  $|m'\rangle$ ? ( $m' < m$ ).



The  $P(\omega) = \frac{\omega^3}{3\pi \epsilon_0 \hbar c^3} |\langle i | q x | f \rangle|^2$

From  $x = \sqrt{\frac{\hbar}{m \omega_0}} \frac{(\hat{a} + \hat{a}^\dagger)}{\sqrt{2}}$  we get:

$$\begin{aligned} \langle m | x | m' \rangle &= \sqrt{\frac{\hbar}{2m \omega_0}} \langle m | (\hat{a} + \hat{a}^\dagger) | m' \rangle = \sqrt{\frac{\hbar}{2m \omega_0}} \langle m | (\sqrt{m'} |m'-1\rangle + \sqrt{m'+1} |m'+1\rangle) \\ &= \sqrt{\frac{\hbar}{2m \omega_0}} (\sqrt{m'} \delta_{m, m'-1} + \sqrt{m'+1} \delta_{m, m'+1}) \\ &= \sqrt{\frac{\hbar}{2m \omega_0}} \sqrt{m} \delta_{m', m-1} \text{ for } m' < m \end{aligned}$$

⇒ without dummy photons, the only transition that is allowed is from  $m$  to  $m-1$ ! All others are zero. Since  $(E_m - E_{m-1}) = \hbar \omega_0$ :

$$P_{m \rightarrow m-1} = P_{i \rightarrow f}(\omega = \omega_0) = \frac{\omega_0^3}{3\pi \epsilon_0 \hbar c^3} \left| q \sqrt{\frac{\hbar}{2m \omega_0}} \sqrt{m} \right|^2 = \frac{q^2 \omega_0^2}{6\pi \epsilon_0 c^3} m$$

④

The lifetime of the  $n$ -th stationary state is:

$$\tau_n = \frac{1}{\Gamma_{n \rightarrow n-1}} = \frac{6\pi \epsilon_0 m c^3}{m q^2 \omega_0^2}$$

Power radiated by system in  $n$ th state:

$$P = \underbrace{\left(\frac{1}{2} \hbar \omega_0\right)}_{\text{radiated photon}} \Gamma_{n \rightarrow n-1} = \left(\frac{1}{2} \hbar \omega_0\right) \frac{q^2 \omega_0^2}{6\pi \epsilon_0 c^3 m} n = \frac{q^2 \omega_0^2}{6\pi \epsilon_0 c^3 m} \left( E_n - \frac{1}{2} \hbar \omega_0 \right)$$

$E_n = (n + \frac{1}{2}) \hbar \omega_0$   
↑

Compare to Classical result (accelerated point charge emitting EM radiation)

$$P_{\text{class}} = \frac{q^2 a^2}{6\pi \epsilon_0 c^3} \quad \text{where } a \text{ is the acceleration of point charge } q.$$

For classical harmonic oscillator:  $x(t) = x_0 \cos(\omega_0 t) \Rightarrow a = -\omega_0^2 x_0 \cos(\omega_0 t)$

$$\Rightarrow a^2 = \omega_0^4 x_0^2 \underbrace{\langle \cos^2(\omega_0 t) \rangle}_{= \frac{1}{2}}$$

$$\Rightarrow P_{\text{class}} = \frac{q^2}{6\pi \epsilon_0 c^3} \omega_0^4 x_0^2 \underbrace{\langle \cos^2(\omega_0 t) \rangle}_{= \frac{1}{2}} = \frac{q^2 \omega_0^2}{6\pi \epsilon_0 c^3 m} \underbrace{\left[ \frac{1}{2} m \omega_0^2 x_0^2 \right]}_{\frac{\omega_0^4 x_0^2}{2}} = \frac{q^2 \omega_0^2}{6\pi \epsilon_0 c^3 m} E$$

which is just like the quantum result except for  $E \rightarrow \left( E - \frac{1}{2} \hbar \omega_0 \right)$  (quantum result protects ground state from emission). Note how quantum becomes classical when  $\hbar \rightarrow 0$ .

## Selection rules for spontaneous emission of atomic states

Consider a H-atom in the state  $\psi_{n\ell m}$ . Does the electron stay forever in this state? No!

It spontaneously relax towards other states  $\psi_{n'\ell'm'}$  by emitting a photon. How to calculate

the rate for this transition?

$$\Gamma_{n\ell m \rightarrow n'\ell'm'} = \frac{\omega^3 q_e^2}{3\pi\epsilon_0 \hbar c^3} \left| \langle \psi_{n'\ell'm'} | \vec{r} | \psi_{n\ell m} \rangle \right|^2$$

where  $\omega = \frac{E_{n\ell m} - E_{n'\ell'm'}}{\hbar}$ .

Whether or not  $\psi_{n\ell m}$  can decay into  $\psi_{n'\ell'm'}$  depends on whether or not

$$\langle \psi_{n'\ell'm'} | \vec{r} | \psi_{n\ell m} \rangle \neq 0.$$

Selection rules: rules for how the allowed  $n', \ell', m'$  relate to  $n, \ell, m$ .

⇒ Symmetry:  $\psi_{n'\ell'm'}$  has to have opposite parity of  $\psi_{n\ell m}$ .

→ all selection rules can be derived from symmetry <sup>(group theory)</sup> using the Wigner-Eckart theorem

(Beyond the scope of this course).

Now we will derive them using commutation tricks:

Selection rules involving  $m$  and  $m'$ :

$$[L_z, x] = i\hbar y \quad [L_z, y] = -i\hbar x \quad [L_z, z] = 0$$

⑥

$$0 = \langle n'l'm' | [L_z, z] | mlm \rangle = \langle n'l'm' | (L_z z - z L_z) | mlm \rangle$$

$$= \langle n'l'm' | (\hbar m' z - z \hbar m) | mlm \rangle$$

$$= \hbar (m' - m) \langle n'l'm' | z | mlm \rangle$$

$$\Rightarrow \text{Either } m' = m \text{ or } \langle n'l'm' | z | mlm \rangle = 0$$

$\Rightarrow$  If  $m' = m$ , a photon with  $\vec{E} \parallel \hat{z}$  may be emitted.

For  $[L_z, x] = i\hbar y$ :

$$\langle n'l'm' | \underbrace{[L_z, x]}_{i\hbar y} | mlm \rangle = i\hbar \langle n'l'm' | y | mlm \rangle$$

$$= (m'\hbar - m\hbar) \langle n'l'm' | x | mlm \rangle$$

$$\Rightarrow (m' - m) \langle n'l'm' | x | mlm \rangle = i \langle n'l'm' | y | mlm \rangle$$

Similarly, using  $[L_z, y] = -i\hbar x$ :

$$(m' - m) \langle n'l'm' | y | mlm \rangle = -i \langle n'l'm' | x | mlm \rangle$$

Combine both results:

$$(m' - m)^2 \langle n'l'm' | x | mlm \rangle = \langle n'l'm' | x | mlm \rangle$$

$$\Rightarrow \text{Either } (m' - m) = \pm 1 \text{ or } \langle n'l'm' | x | mlm \rangle = 0.$$

$\Rightarrow$  If  $(m' - m) = \pm 1$  a photon with  $\vec{E} \parallel \hat{x} \pm i\hat{y}$  will be emitted ("circularly polarized")

For selection rule for  $l$ , note that:

$$Y_{nlm}(-\hat{n}) = (-1)^l Y_{nlm}(\hat{n}) \quad (\text{Prove that from spherical harmonics}).$$

$$\begin{aligned} \langle Y_{nlm} | \hat{n} | Y_{n'l'm'} \rangle &= \int d^3n Y_{nlm}(\hat{n}) \hat{n} Y_{n'l'm'}(\hat{n}) \\ &\quad \hat{n}' = -\hat{n} \\ &= - \int d^3n' Y_{nlm}(-\hat{n}') \hat{n}' Y_{n'l'm'}(\hat{n}') \\ &= - (-1)^{l+l'} \int d^3n' Y_{nlm}(\hat{n}') \hat{n}' Y_{n'l'm'}(\hat{n}') \end{aligned}$$

$\Rightarrow (l+l')$  has to be odd.

Actually more than that: Use  $[\hat{L}^2, [\hat{L}^2, \hat{n}]] = 2\hbar^2 (\hat{n} \hat{L}^2 + \hat{L}^2 \hat{n})$

$$\begin{aligned} \Rightarrow \langle n'l'm' | [\hat{L}^2, [\hat{L}^2, \hat{n}]] | nlm \rangle &= 2\hbar^2 \frac{2}{\hbar^2} [l(l+1) + l'(l'+1)] \langle n'l'm' | \hat{n} | nlm \rangle \\ &= \langle n'l'm' | (\hat{L}^2 [\hat{L}^2, \hat{n}] - [\hat{L}^2, \hat{n}] \hat{L}^2) | nlm \rangle = \frac{2}{\hbar^2} [l'(l'+1) - l(l+1)] \langle n'l'm' | [\hat{L}^2, \hat{n}] | nlm \rangle \\ &= \frac{2}{\hbar^2} [l'(l'+1) - l(l+1)] \frac{2}{\hbar^2} [l'(l'+1) - l(l+1)] \langle n'l'm' | \hat{n} | nlm \rangle \end{aligned}$$

$$\Rightarrow \underbrace{2 [l(l+1) + l'(l'+1)]}_{(l'+l+1)^2 + (l'-l)^2 - 1} = \underbrace{[l'(l'+1) - l(l+1)]^2}_{(l'+l+1)(l'-l)} \quad \text{or else } \langle n'l'm' | \hat{n} | nlm \rangle = 0.$$

$$\Rightarrow [(l'+l+1)^2 + (l'-l)^2 - 1] - l'+l+1)^2 (l'-l)^2 = 0 \Rightarrow [(l'+l+1)^2 - 1] [1 - (l'-l)^2] = 0$$

2)

For 1<sup>st</sup> factor to be zero we must have:  $l' = l = 0$  (remember,  $l, l'$  are positive integers)  
 $\Rightarrow$  from symmetry we know this is not allowed,  
 $l + l'$  would be even.

For 2<sup>nd</sup> factor to be zero we must have:  $l' - l = \pm 1$ . This is allowed by symmetry, because  
 $\Delta l = \pm 1$  implies  $l + l'$  is odd.

$\Rightarrow$   $\Delta l = \pm 1$   
is the number  
of nodes