

# Specific Impairment of Face-Processing Abilities in Children With Autism Spectrum Disorder Using the *Let's Face It!* Skills Battery

Julie M. Wolf, James W. Tanaka, Cheryl Klaiman, Jeff Cockburn, Lauren Herlihy, Carla Brown, Mikle South, James McPartland, Martha D. Kaiser, Rebecca Phillips, and Robert T. Schultz

Although it has been well established that individuals with autism exhibit difficulties in their face recognition abilities, it has been debated whether this deficit reflects a category-specific impairment of faces or a general perceptual bias toward the local-level information in a stimulus. In this study, the *Let's Face It!* Skills Battery [Tanaka & Schultz, 2008] of developmental face- and object-processing measures was administered to a large sample of children diagnosed with autism spectrum disorder (ASD) and typically developing children. The main finding was that when matched for age and IQ, individuals with ASD were selectively impaired in their ability to recognize faces across changes in orientation, expression and featural information. In a face discrimination task, ASD participants showed a preserved ability to discriminate featural and configural information in the mouth region of a face, but were compromised in their ability to discriminate featural and configural information in the eyes. On object-processing tasks, ASD participants demonstrated a normal ability to recognize automobiles across changes in orientation and a superior ability to discriminate featural and configural information in houses. These findings indicate that the face-processing deficits in ASD are not due to a local-processing bias, but reflect a category-specific impairment of faces characterized by a failure to form view-invariant face representations and discriminate information in the eye region of the face.

**Keywords:** face recognition; object recognition; visual perception; assessment; computer-based assessment

## Introduction

Autism is a pervasive developmental disorder (PDD) involving impairments in reciprocal social interaction, verbal and non-verbal communication, a lack of imaginative play and repetitive and restricted solitary activities. Though defined behaviorally, autism is highly heritable and involves developmental differences in brain growth, organization and function. Autism presents with a range of severity and associated features and, to capture this heterogeneity, is commonly referred to as autism spectrum disorder (ASD). ASD encompasses autistic disorder, Asperger's disorder and pervasive developmental disorder, not otherwise specified [PDD-NOS; *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition, Text Revision* (DSM-IV-TR), American Psychiatric Association, 2000]. One of the most salient features of the disorder is diminished interest in and understanding of other people and their thoughts and feelings, even in children with relatively intact cognitive functioning. Individuals with ASD may also display an intense interest in non-social objects and events (e.g., watches, trains, car

models) that interfere with adaptive responses to both novel and familiar social situations (e.g., making eye contact with others, sharing attention with parents and recognizing classmates).

A growing body of evidence suggests that many persons with autism show selective deficits in their perception and recognition of face identity, a skill domain that is critical to normal face-processing ability [Tanaka, Lincoln, & Hegg, 2003]. Compared to typically developing (TD) individuals, individuals with ASD are impaired on tasks involving the discrimination of facial identities [Behrmann, Avidan et al., 2006; Tantam, Monaghan, Nicholson, & Stirling, 1989; Wallace, Coleman, & Bailey, 2008], recognition of familiar faces [Boucher & Lewis, 1992] and immediate recognition of novel faces [Blair, Frith, Smith, Abell, & Cipolotti, 2002; Boucher & Lewis, 1992; Gepner, de Gelder, & de Schonen, 1996; Hauck, Fein, Maltby, Waterhouse, & Feinstein, 1998; Klin et al., 1999]. These deficits appear to be face-specific because individuals with ASD do not differ from control participants in their ability to recognize non-face objects, such as cars and houses [Lopez, Donnelly, Hadwin, & Leekam, 2004].

From the Child Study Center, Yale University School of Medicine, New Haven, Connecticut (J.M.W., C.K., L.H., C.B., M.S., J.M., R.T.S.) and Department of Psychology, University of Victoria, Victoria, British Columbia, Canada (J.W.T., J.C., M.D.K., R.P.)

Received August 24, 2008; accepted for publication November 29, 2008

Address for correspondence and reprints: James Tanaka, Department of Psychology, University of Victoria, Victoria, BC, Canada V8W 3P5. E-mail: jtanaka@uvic.ca or Robert Schultz, Center for Autism Research, The Children's Hospital of Philadelphia, 3535 Market Street, Suite 860, Philadelphia, PA USA 19104-4399. E-mail: schultzrt@email.chop.edu

Grant sponsors: NIH (Studies to Advance Autism Research and Treatment), James S. McDonnell Foundation; National Science Foundation; Grant number: SBE-0542013, Grant sponsor: National Science and Engineering Research Councils of Canada.

Published online 29 December 2008 in Wiley InterScience (www.interscience.wiley.com)

DOI: 10.1002/aur.56

© 2008 International Society for Autism Research, Wiley Periodicals, Inc.

Other work has indicated that individuals with ASD employ perceptual strategies that are not optimal for face recognition. For example, eye-tracking studies have shown that whereas TD individuals direct their fixations to the eye region of the face, individuals with ASD focus on the less informative, lower mouth region [Klin, Jones, Schultz, Volkmar, & Cohen, 2002a,b; Pelphrey et al., 2002; Spezio, Adolphs, Hurley, & Piven, 2007a,b]. Although individuals with ASD perform equally to non-ASD individuals in their discrimination of spatial changes between the nose and mouth, they are less sensitive than TD individuals to changes in the eyes [Riby, Doherty-Sneddon, & Bruce, 2008; Rutherford, Clements, & Sekuler, 2007]. Whereas most people employ a holistic face recognition strategy in which the parts are integrated into a whole face representation, people with autism employ a face-processing strategy that is focused on individual face parts [Hobson, Ouston, & Lee, 1988; Tantam et al., 1989], or use an atypical holistic strategy that is biased toward the mouth rather than eye features [Joseph & Tanaka, 2003]. Individuals with ASD also attend to local information contained in the high-spatial-frequency bands of the face stimulus compared to TD children who show a preference for whole face information present in the lower-spatial-frequency bands [Deruelle, Rondan, Gepner, & Tardif, 2004]. Thus, the converging evidence indicates that many individuals with ASD adopt a face-processing strategy emphasizing the details of a face with special attention paid to the mouth feature. In contrast, TD individuals employ a whole face strategy in which the eyes are particularly salient.

Despite the plethora of data on these deficits, the conclusion that individuals with ASD have reliable deficits in their face-processing abilities has not gone unchallenged. Jemel, Mottron, and Dawson [2006] suggest that a careful reading of published behavioral studies reveals that individuals with ASD actually show a *preserved* ability to recognize facial identity [Langdell, 1978], to interpret facial expressions [Castelli, 2005; Ozonoff, Pennington, & Rogers, 1991; Pelphrey et al., 2002] and to utilize holistic recognition strategies [Joseph & Tanaka, 2003; Lopez et al., 2004]. According to these authors and others [Behrmann, Avidan et al., 2006; Behrmann, Thomas, & Humphreys, 2006], ASD promotes a local-processing bias that is not specific to faces but reflects a domain-general perceptual strategy. As evidence of the local bias, individuals with ASD excel at perceptual tasks that require attention to individual elements of a stimulus and the inhibition of global information [Caron, Mottron, Berthiaume, & Dawson, 2006; Plaisted, O'Riordan, & Baron-Cohen, 1998; Rinehart, Bradshaw, Moss, Brereton, & Tonge, 2000]. While a local bias can have a negative impact on the face recognition performance [Gross, 2004], these deficits can be eliminated by the use of appropriate compensatory cueing strategies

[Lopez et al., 2004]. According to the local bias view then, individuals with ASD do not differ from TD individuals in their ability to recognize faces, but differ with respect to the perceptual strategies that they employ to accomplish this task [Jemel et al., 2006].

Ultimately, questions regarding the nature and scope of face-processing deficits in ASD cannot be addressed by single empirical studies constrained by limited unidimensional measures and relatively small sample sizes. The *Let's Face It! (LFI!) Skills Battery* [Tanaka & Schultz, 2008] is a computer-based assessment for children that evaluates the child's perception of facial identity and expression across a broad range of face-processing tasks. The identity component of the battery includes measures of short-term memory for faces, featural and configural face perception, analytic and holistic face perception and recognition across changes in orientation, expression and masking. The battery also includes two control tasks that test short-term memory for cars and featural and configural discrimination of houses. In the present study, the identity component of the *LFI!* Skills Battery was administered to individuals diagnosed with ASD and non-ASD control individuals. The goals of the study were to investigate whether participants with ASD demonstrated selective impairments in their ability to recognize faces and whether their strategies differed from those of individuals without ASD.

## Method

### *Participants*

This study was approved by the institutional review boards at both the Yale University School of Medicine and the University of Victoria. All participants (or parents of minor participants) gave written informed consent after study procedures were fully explained to them.

Participants of the present study included 85 children, adolescents and young adults with ASDs, and 130 TD children, adolescents and young adults. Participants in the ASD group were recruited on the basis of previous diagnoses of autistic disorder, Asperger's disorder or PDD-NOS through presentations at schools and parent organizations and through existing relationships with families of children on the autism spectrum. TD participants were recruited through word of mouth and through local churches and school systems. TD participants were excluded if they had significant symptoms of a DSM-IV Axis I disorder [based on the Child Symptom Inventory; Gadow & Sprafkin, 1994]. TD and ASD participants were excluded if they had vision worse than 20–100 in both eyes, or if, in the judgment of an experienced clinician, they were unable to comprehend the instructions of the experimental tasks.

Autism spectrum diagnoses were confirmed based on DSM-IV criteria through use of the Autism Diagnostic Interview, Revised [ADI-R; Rutter, LeCouteur, & Lord, 2003], and the Autism Diagnostic Observation Schedule—Generic [ADOS-G; Lord, Rutter, DiLavore, & Risi, 1999] by a clinician trained in their administration, with at least 5 years of experience working with individuals with ASDs. In some cases, ADOS-G or ADI-R data were missing (ADOS: 4 missing, ADI: 7 missing), or participants did not meet criteria for an ASD on one of these measures (ADOS: 16 did not meet; ADI: 7 did not meet; note that there is no overlap in these numbers; i.e. all participants met criteria on at least 1 of the 2 diagnostic measures). In these instances, a final diagnostic decision was made by consensus among two or more clinicians with at least 5 years of experience in the field of ASDs, independent of any knowledge of how the child performed on the *LFI!* Skills Battery.

IQ was obtained for all participants using either the Wechsler Abbreviated Scale of Intelligence [Wechsler, 1999], the Wechsler Intelligence Scale for Children, Third Edition [Wechsler, 1991], the Wechsler Adult Intelligence Scale, Third Edition [Wechsler, 1997] or the Differential Abilities Scales [Elliott, 1990]. In cases in which a participant had an IQ test administered clinically within the last year, an IQ measure was not re-administered, and scores from the previous administration were utilized for the purposes of the present study.

The TD control group was composed of 140 children (87 males and 53 females) with a mean age of 11.96 years and a mean full scale IQ of 113.28. The ASD group consisted of 85 children (71 males and 14 females) with a mean age of 11.58 years and a mean full scale IQ of 99.74. The ASD group comprised 36 individuals with autistic disorder, 21 with Asperger's disorder and 28 with PDD-NOS. From this total pool of participants, subsamples were created for each analysis in which the ASD and TD groups were carefully matched on age and IQ. Because each assessment measure had different pieces of missing data (owing in part to the fact that not all *LFI!* Skills Battery subtests were developed at the outset of the study), group matching was conducted separately for each of the measures, blindly with respect to dependent variables of interest. As is depicted in Table I, for all analyses, groups were matched for both age and full scale IQ such that no means differed by more than 0.1. Given the greater heterogeneity in the ASD group, it was not possible to equate the standard deviations for age and IQ without negatively impacting sample size.

### Procedure

Participants were administered the *LFI!* Skills Battery in addition to other neuropsychological and behavioral measures. The *LFI!* Skills Battery was administered over

**Table I. Group Characteristics for Each Analysis**

Task	Group	N			Age			FSIQ			VIQ			PIQ		
		Total	Male	Female	Mean	SD	Range	Mean	SD	Range	Mean	SD	Range	Mean	SD	Range
Matching identity across expression	TD	66	39	27	11.7	3.0	5.1-18.1	107.0	8.0	81-120	108.9	10.7	85-133	103.2	9.1	78-121
	ASD	66	55	11	11.7	3.8	5.8-20.3	107.0	20.5	65-147	105.0	21.9	61-153	105.9	20.5	66-150
Immediate memory for faces	TD	67	42	25	11.9	3.0	6.0-18.1	106.8	8.0	81-120	109.2	11.0	85-133	102.6	9.0	78-118
	ASD	66	56	10	11.9	4.0	5.8-20.7	106.8	20.9	58-147	104.5	22.4	55-153	105.9	20.8	66-150
Immediate memory for cars	TD	30	22	8	11.7	2.4	6.0-15.6	111.2	12.6	81-133	112.4	13.6	85-130	106.9	11.9	78-126
	ASD	31	27	4	11.7	3.5	6.2-18.3	111.1	21.6	67-147	108.0	24.1	61-153	110.9	19.4	72-150
Face and house dimensions	TD	66	40	26	11.9	3.1	5.1-18.1	106.7	7.7	81-119	108.5	10.4	85-133	103.0	9.4	78-124
	ASD	67	56	11	11.9	3.9	5.8-20.7	106.7	20.4	65-147	104.8	21.7	61-153	105.4	20.4	66-150
Parts/whole identity	TD	68	42	26	11.9	3.1	5.1-18.1	106.8	7.8	81-119	108.9	10.8	85-133	102.8	9.3	78-124
	ASD	66	56	10	11.9	4.0	5.8-20.7	106.8	20.9	58-147	104.5	22.4	55-153	105.9	20.8	66-150
Matching identity across masked features	TD	67	39	28	11.8	3.1	5.1-18.1	106.9	7.9	81-120	108.7	10.9	85-133	103.2	9.1	78-121
	ASD	67	56	11	11.8	3.9	5.8-20.7	106.9	20.4	65-147	105.1	21.7	61-153	105.6	20.4	66-150

*Note:* Group matching was conducted separately for each analysis based on both age and full scale IQ. The Immediate Memory for Cars subtest has a smaller sample size, as it was introduced into the battery after data collection had already been under way. FSIQ, full scale intelligence quotient; VIQ, verbal intelligence quotient; PIQ, performance intelligence quotient; TD, typically developing; ASD, autism spectrum disorder.

a 2-day period, with half the items administered on the first day, and half the items administered on the second day, using a split half, parallel form procedure (with the exception of the immediate memory tasks, which have relatively few items and were therefore administered in full on the first day).

#### Description of LFI! Skills Battery

The LFI! Skills Battery is composed of five tests of facial identity, three tests of facial emotion and two tests of object processing. In this paper, we focus on the tests of facial identity and object processing as described below.

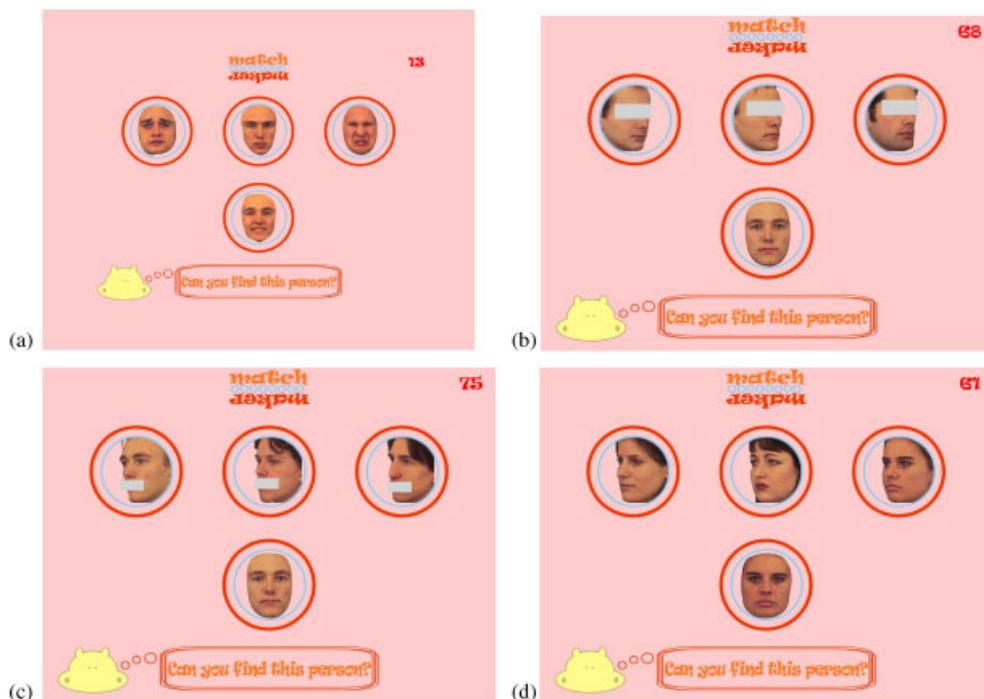
#### Face-Identity Tests

**Matching identity across expression.** This test evaluated the child's ability to recognize facial identities across changes in expression (see Fig. 1a). A target face depicting a basic emotion (i.e., happy, angry, sad, disgusted and frightened) in frontal profile was shown alone for 500msec and then remained on the screen when three probe faces conveying different expressions from the target face were presented. Faces were color images selected from the Karolinska Face Set [Lundqvist, Flykt, & Öhman, 1998]. The participant's task was to select the probe face that matched the identity of the target face, despite non-matching facial expressions. There were six target items for each of the basic emotions of happy, angry, sad, disgusted and frightened for a total of 30 trials. Participants sat at a viewing

distance of approximately 100cm from the computer screen and subtended visual angles of approximately 3° in the horizontal dimension and 5° in the vertical dimension.

**Matching identity across masked features.** The goal of this measure was to test the participant's ability to match facial identity when the eye or mouth information is occluded. A study face was shown alone for 500 msec and then while the study face remained on the screen, three probe faces were presented (with either no mask, eyes masked or mouths masked) at 45° rotation. In a three-alternative forced choice format, the participant's task was to select the probe face that matched the study face. The items were blocked by condition (eye mask, mouth mask or no mask; see Fig. 1b–d). There were a total of 96 trials comprising 32 no mask trials, 32 eye mask trials and 32 mouth mask trials that were presented in pseudo-random order. Face stimuli were color images taken from the Karolinska Face Set [Lundqvist, Flykt, & Ohman, 1998]. The face images subtended a visual angle of approximately 3 and 2° in the vertical and horizontal dimensions, respectively.

**Featural and configural face dimensions.** The face dimensions task measures perceptual sensitivity to the featural and configural information in a face. A feature is defined as a face part (i.e., eyes, nose and mouth) and the configuration as the spatial distances that separate the features. In contrast to comparable measures [Mondloch, Le Grand, & Maurer, 2002], the face dimensions task



**Figure 1.** Examples from the Identity Matching Tests: (a) matching identity with mouths masked, (b) matching identity with eyes masked, (c) matching identity across changes in orientation and (d) matching identity across changes in expression. [Color figure can be viewed in the online issue which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com)]

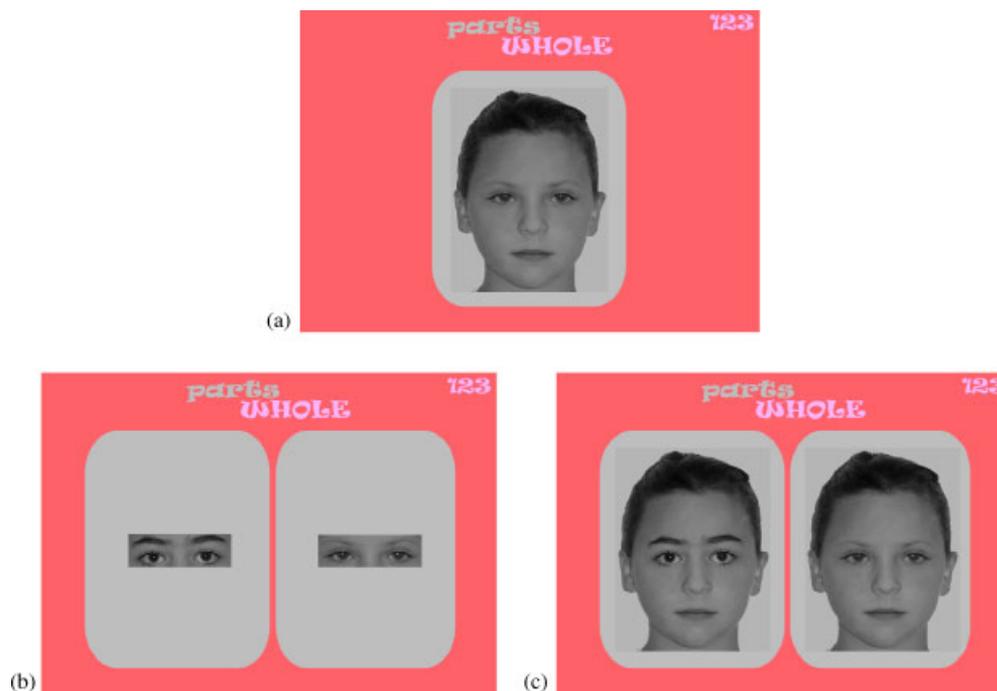
independently tests the discrimination of featural and configural information in the upper and lower face regions. The faces were photographs of eight children (four male and four female) ranging in age from 9 to 12 years whose parents had given written permission to use their child's photograph in research. Face images were 6 cm in width (visual angle = 3°) and 8.5 cm in height (visual angle = 5°). Using Adobe Photoshop™ (Adobe Systems, Inc., San Jose, CA), each of the eight faces was altered independently along four dimensions: featural eye changes, featural mouth changes, configural eye changes and configural nose–mouth changes. Featural eye changes involved a 20% increase and a 20% decrease in the size of the eyes relative to the original. Featural mouth changes involved a 20% increase and a 20% decrease in the size of the mouth relative to the original. Configural eye changes involved moving the eyes horizontally apart by 10 pixels and moving the eyes closer together by 10 pixels. Configural nose–mouth changes involved, relative to the original, moving the mouth away from the nose by 10 pixels and moving the mouth toward the nose by 10 pixels. Note that featural and configural dimensions are not completely dissociable where changes in the features of a face produce subtle changes in the distances between features. Feature changes in these stimuli altered the eye-to-eye distance and nose-to-mouth distance, 4 pixels and 2 pixels, respectively. Overall, there were eight digitally altered versions of each of the eight original faces.

In the face dimensions task, two faces were presented side by side and the participant's task was to decide whether the faces were the "same" or "different." On the "same" trials, the faces were identical. On "different" trials, the faces were identical except for a variation in their featural or configural properties as described above. Both faces remained on the screen until a response of "same" or "different" was made. There were 128 trials consisting of 64 "different" trials (16 featural eyes, 16 featural mouth, 16 configural eyes and 16 configural nose–mouth) and 64 "same" trials (see Fig. 2a and b).

**Parts/whole identity.** The goal of this measure was to assess the extent to which the participant employed a featural or holistic face recognition strategy. In this task, a study face was presented for 4 sec, followed by a probe stimulus composed of either two whole faces or two face parts. In the whole face condition, the faces were identical with the exception of the critical face part under test. For example, if the critical face part was the eyes, the target and foil faces varied in their eyes, but contained the exact same mouth and nose features embedded in the same face outline. In the part condition, only the target and foil parts were shown. The participant selected the whole face or face part that matched the previously presented study face in a two-alternative forced choice task (see Fig. 3). There were 80 trials: 20 eye parts, 20 mouth parts and 40 whole face sets (20 in which the eyes differed and 20 in which the mouth



**Figure 2.** (a) Face Dimensions Test item depicting a featural change in the mouth size, (b) Face Dimensions Test item depicting a configural change in inter-eye distance, (c) House Dimensions Test item showing a featural change in the size of large window and (d) House Dimensions Test item depicting a configural change in inter-window distance. [Color figure can be viewed in the online issue which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com)]



**Figure 3.** Parts/Whole Test of holistic processing: (a) whole face target item, (b) Isolated Eye Test item and (c) Whole Face Test item. [Color figure can be viewed in the online issue which is available at [www.interscience.wiley.com](http://www.interscience.wiley.com)]

differed). The face stimuli are from the Shriver Set of Children's Faces used by Joseph and Tanaka [2003]. The face stimuli were gray-scale images and subtended visual angles of  $2.5 \times 4^\circ$  in the horizontal and vertical dimensions, respectively.

**Immediate memory for faces.** This task was a measure of short-term memory for faces. In this test, a study face was shown in frontal view for 1,000 msec and was then replaced by three probe faces that were shown at 3/4 orientation. In a three-alternative forced choice task, the participant selected the probe face that corresponded to the study face. There were 14 trials in this measure. The face images were gray-scale images from the Karolinska Face Set [Lundqvist et al., 1998] and subtended visual angles of  $3 \times 5^\circ$  in the horizontal and vertical dimensions, respectively.

#### Non-Face Object Tests

**Featural and configural house dimensions.** This task measured the participant's ability to discriminate featural and configural differences in house stimuli. A simultaneous same/different matching task was used in which two houses were presented side by side and the participant was to decide whether the houses were the same or different. The house images were 4 cm in width and 3 cm in height and subtended visual angles of approximately  $2.5$  and  $2^\circ$  in the horizontal and vertical dimensions, respectively. Both houses remained on the screen until a response of "same" or "different" was made by clicking the appropriate choice with a mouse. The placement of the

houses was slightly misaligned from horizontal or vertical in order to disrupt alignment-based strategies.

On the "same" trials, the houses were identical. On "different" trials, the houses varied with respect to their featural or configural properties. For the featural trials, the two houses differed according to the size of two small windows or the size of a large window. For "different" featural trials, featural changes involved a 20% increase and a 20% decrease in the size of the small windows or the large window relative to the original. For the "different" configural trials, the two houses shared identical features, but varied in the spatial distance separating the small windows or the elevation of the large window. The small windows were moved closer together or farther apart by 10 pixels along the horizontal axis. Configural large window changes moving the large window closer to or farther away from the bottom of the house by 10 pixels in the vertical direction (see Fig. 2c and d). Overall, there were eight digitally altered versions of each of the eight original houses. There were 128 trials consisting of 64 "same" and 64 "different" trials that were presented in pseudo-random order.

**Immediate memory for cars.** This task was a measure of short-term memory for cars, as a control for the immediate memory—faces task. In this assessment, a study car was shown in the frontal view for 1,000 msec and was then replaced by three probe cars that were shown at 3/4 orientation. In a three-alternative forced choice task, the participant selected the probe car that

**Table II. Means, Standard Deviations and Effect Sizes for Each Between-Group Comparison**

(Cohen's <i>d</i> )	Mean (SD)		Effect size
	ASD	TDC	
<b>Face Identity Tests</b>			
Matching identity across masked features			
	Mouth masked	59.66 (18.84) 75.42 (16.68)	0.89
	No mask	66.25 (19.32) 79.53 (16.79)	0.73
	Eyes masked	62.88 (19.48) 76.26 (13.52)	0.56
	Matching identity across expression	60.03 (16.58) 77.37 (17.94)	1.00
Face dimensions			
	Mouths	75.68 (20.10) 73.72 (20.63)	-0.10
	Eyes	70.99 (24.96) 84.33 (16.11)	0.64
Parts/whole identity			
	Whole eyes	70.93 (17.51) 83.46 (13.33)	0.81
	Part eyes	67.64 (16.35) 76.99 (14.07)	0.61
	Whole mouth	64.84 (13.62) 69.04 (13.25)	0.31
	Part mouth	55.21 (13.44) 59.12 (12.55)	0.30
	Immediate memory for faces	48.68 (19.14) 67.27 (18.11)	1.00
<b>Object Identity Tests</b>			
	Houses dimensions	60.79 (21.40) 47.75 (21.39)	-0.61
	Immediate memory for cars	65.77 (19.35) 70.24 (17.30)	0.24

Note: Values reflect accuracy in percentage correct. Within task variables are ordered by magnitude of effect size. ASD, autism spectrum disorder; TDC, typically developing children.

corresponded to the study car. The car images measured 4.5 cm in width and 3 cm in height and subtended visual angles of 2.5 and 2° in the horizontal and vertical dimensions, respectively. There were 14 trials in this measure that were presented in pseudo-random order.

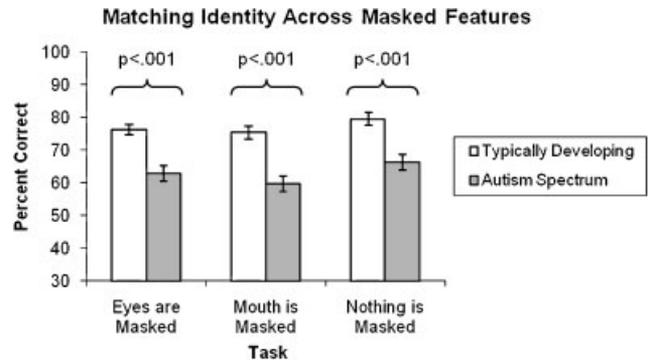
## Results

This analysis focused on comparing performance of ASD participants and TD participants on the *LFI!* Skills Battery. The dependent variable for all of the following analyses is participant accuracy, as measured by the percentage of items correct. Means, standard deviations and between-group effect sizes for the variables in each test are given in Table II. Bonferroni adjustments were applied for tests involving multiple comparisons.

### Face-Identity Tests

**Matching Identity Across Expression Test.** A one-way, between-subjects analysis of variance (ANOVA) was conducted on the Matching Identity Across Expression Test data. These results demonstrated a significant between-group difference ( $F(1, 130) = 33.27, P < 0.001$ ) such that TD participants had significantly higher accuracy than the ASD participants.

**Matching Identity Across Masked Features Test.** A  $2 \times 3$  ANOVA was conducted with group (ASD and TD) as a between- and task (eyes masked, mouth masked and no

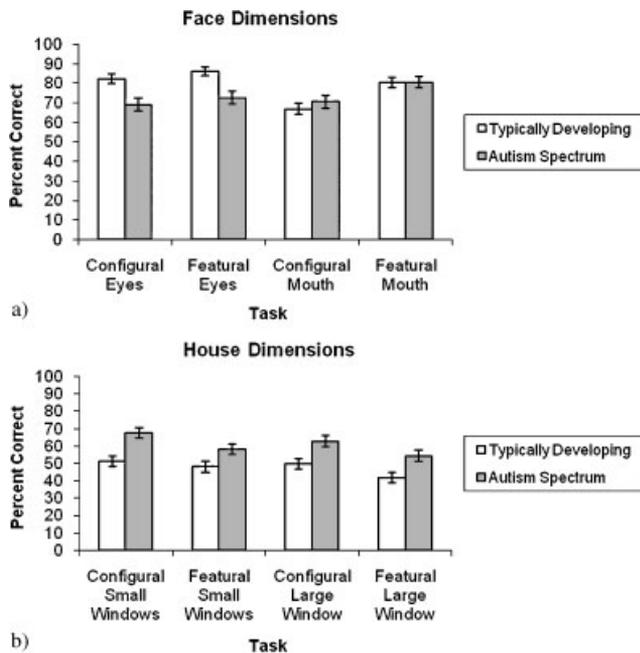


**Figure 4.** Results from identity matching task across the three masking conditions. The TD group demonstrated significantly higher accuracy than the ASD group in the eyes masked, mouth masked and no masked conditions. There was no masking condition (eyes, mouth and no mask) by group (TD and ASD) interaction. TD, typically developing; ASD, autism spectrum disorder.

mask) as a within-group factor. Results demonstrated a significant main effect of task ( $F(2, 264) = 15.53, P < 0.001$ ), and a main effect of group ( $F(1, 132) = 25.14, P < 0.001$ ), but no task  $\times$  group interaction ( $F(2, 264) = 1.05, n.s.$ ). As shown in Figure 4, the TD group demonstrated significantly higher accuracy than the ASD group. Post hoc *t*-tests following the main effect of task, collapsing across group, revealed that the “no mask” condition differed significantly from each of the other conditions (eyes masked vs. no mask:  $t(133) = -3.64, P < 0.01$ ; mouth masked vs. no mask:  $t(133) = -5.60, P < 0.01$ ).

**Featural and Configural Face Dimensions Test.** A  $2 \times 2 \times 2$  ANOVA was conducted on the face dimensions data with information type (configural and featural) and feature (eyes and mouth) as within-group factors, and group (ASD and TD) as the between-group factor for correct different responses.<sup>1</sup> Results showed a significant main effect of information type ( $F(1, 131) = 41.39, P < 0.001$ ) demonstrating that the discrimination of featural information was superior to discrimination of configural information. Information type also interacted with feature ( $F(1, 131) = 14.71, P < 0.001$ ), indicating that across ASD and TD groups, configural eye discriminations were more accurate than configural mouth discriminations ( $t(132) = 2.91, P < 0.01$ ), whereas there was no difference between featural eye and mouth decisions ( $t(132) = -0.49, n.s.$ ). There was also a significant feature  $\times$  group interaction ( $F(1, 131) = 13.36, P < 0.001$ ). As shown in Figure 5, direct comparison

<sup>1</sup>A *d* prime analysis was not appropriate given that false alarm trials could not be yoked to the corresponding hit condition. That is, when the participant incorrectly responded “different” when shown two identical faces, it was undetermined whether this incorrect response was based on perceived differences in the configural eyes, configural mouth, featural eyes or featural mouths.

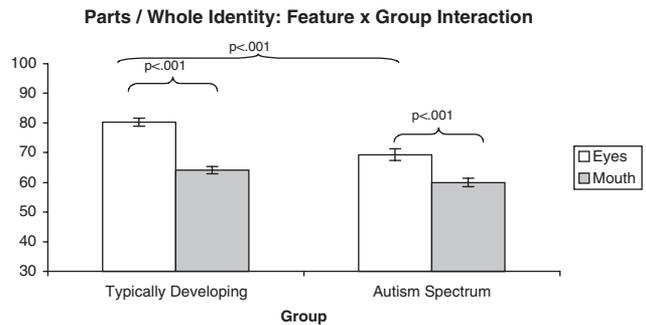


**Figure 5.** (a) Face dimensions task. The TD group had higher accuracy than the ASD group on the eye items, but the between-group difference for mouths was not significant. The TD group showed higher accuracy for eyes than mouths, while the ASD group showed no significant difference between eyes and mouths. (b) House Dimensions Test. The ASD group outperformed the TD group across the featural and configural conditions for both the small windows and large windows. TD, typically developing; ASD, autism spectrum disorder.

revealed that the TD group outperformed the ASD group on eye items ( $t(131) = 3.66, P < 0.001$ ), while there was no between-group difference on mouth items ( $t(131) = -0.53, n.s.$ ). Furthermore, the TD group demonstrated greater accuracy for eye over mouth items ( $t(65) = 4.26, P < 0.001$ ), whereas the ASD group showed no significant difference between eye and mouth items ( $t(66) = -1.40, n.s.$ ). The ASD and TD groups did not differ with respect to their correct same trials ( $P > 0.10$ ).

**Parts/Whole-Identity Test.** A  $2 \times 2 \times 2$  ANOVA was conducted on the parts/whole-identity data, with configuration (part and whole), feature (eyes and mouth) and group (ASD and TD) as independent variables. Results demonstrated significant main effects of configuration ( $F(1, 132) = 69.41, P < 0.001$ ), feature ( $F(1, 132) = 135.66, P < 0.001$ ) and group ( $F(1, 132) = 17.12, P < 0.001$ ).

A significant interaction was found between feature and group ( $F(1, 132) = 9.95, P < 0.01$ ). Post hoc  $t$ -tests following this interaction demonstrated that the TD group had higher accuracy than the ASD group on the eye items,  $t(132) = 4.63, P < 0.001$ , but not the mouth items,  $P > 0.05$ . Furthermore, both groups demonstrated stronger performance on eye items than on mouth items, but this difference was more pronounced for the TD



**Figure 6.** Parts/whole-identity task—feature  $\times$  group interaction. The TD group had higher accuracy than the ASD group on the eye features, but not the mouth features. Both groups demonstrated stronger performance on eye items than on mouth items, but this difference was more pronounced for the TD group. TD, typically developing; ASD, autism spectrum disorder.

group (TD:  $t(67) = 11.81, P < 0.001$ ; ASD:  $t(65) = 5.42, P < 0.001$ ). These results are depicted in Figure 6.

A significant interaction was also found between configuration and feature ( $F(1, 132) = 6.70, P < 0.05$ ). Post hoc  $t$ -tests, collapsing across group, demonstrated that “whole” items were processed with greater accuracy than were “part” items, although this difference was most pronounced for the mouth items (eyes:  $t(133) = -4.00, P < 0.001$ ; mouths:  $t(133) = -7.23, P < 0.001$ ). “Eye” items were also processed more accurately than were “mouth” items across groups, but this difference was most pronounced among “part” items (parts:  $t(133) = 10.08, P < 0.001$ ; whole:  $t(133) = 7.19, P < 0.001$ ). The interaction between configuration and group ( $F(1, 132) = 0.97, n.s.$ ) and the three-way interaction between configuration, feature and group ( $F(1, 132) = 0.58, n.s.$ ) were not significant.

**Immediate Memory for Faces Test.** A one-way, between-subjects ANOVA was conducted on the immediate memory for faces data. These results demonstrated a significant between-group difference ( $F(1, 131) = 33.10, P < 0.001$ ) such that ASD participants were significantly impaired in accuracy relative to TD participants.

#### Object Tests

**House Dimensions Test (“same/different—houses”).** A  $2 \times 2 \times 2$  ANOVA was conducted on the house dimensions data with information type (configural and featural) and feature (small windows and large window) as within-group factors and group (ASD and TD) as the between-group factor. Results showed a significant main effect of information type ( $F(1, 131) = 38.38, P < 0.001$ ) demonstrating that the discrimination of configural information was superior to the discrimination of featural information. There was also a significant feature effect ( $F(1, 131) = 5.60, P < 0.05$ )

such that the discrimination of the small windows was better than the discrimination of the large windows. Critically, there was an overall effect of group ( $F(1, 131) = 12.35, P = 0.001$ ) such that the ASD group outperformed the TD group across the four conditions (configural small windows, featural small windows, configural large window and featural large window). None of the interactions were significant. These results are depicted in Figure 5b.

**Immediate Memory for Cars Test.** A one-way, between-subjects ANOVA was conducted on the immediate memory—cars data, which is the control counterpart to the immediate memory for faces. In contrast to the faces task, the results for the cars task demonstrated no significant difference between the ASD and TD groups ( $F(1, 59) = 0.90, n.s.$ ).

### Correlational Analyses

Correlational analyses were conducted to investigate relationships between degree of autism symptomatology as measured by the ADOS and ADI and performance on the *LFI!* Skills Battery. For correlations involving the ADOS, only participants receiving Modules 3 and 4 ( $N = 75$ ) were included in the analyses, as these two modules are scored on comparable scales. (Modules 1 and 2 are scored on a different scale, and sample sizes did not permit separate analysis of participants receiving these modules.) After Bonferroni adjustment, no significant correlations were found between ADOS or ADI scores and the total score for any of the *LFI!* Skills Battery subtests. Further correlations were conducted to investigate the relationship between autism symptomatology and tasks specifically involving the eye region of the face. After Bonferroni adjustment, significant correlations were found between the “eyes” items of the face dimensions task and both the ADOS socialization ( $r = -0.31, P < 0.01$ ) and “communication+socialization” ( $r = -0.30, P < 0.01$ ) algorithm scores.

## Discussion

In the largest sample studied to date, we compared performance of individuals with ASD and age- and IQ-matched control participants across a broad range of face perception and recognition measures. The large sample size ensured a level of precision and confidence with respect to estimates of the magnitude of the deficits not achieved by prior studies, which have been limited by less optimal group matching, relatively smaller sample sizes or experimental measures of face perception that were less broad in scope and less well anchored in the current literature on face perception. The goals of the study were two-fold: first, to determine whether individuals with ASD show selective deficits in their ability to

recognize faces and, second, to characterize the nature of any identified face-processing deficit.

Results from the *LFI!* Skills Battery revealed a converging pattern of deficits and strengths in face and object processing in individuals with ASD. First, two tests in the battery showed that participants with ASD had difficulty recognizing facial identity across different face images due to changes in orientation (Immediate Memory Face Test), expression or feature information (Face Matching Test). The matching identity across masked features task showed a general pattern of deficit in the autism group, but failed to reveal any specific eye or mouth strategy. Overall, results from these subscales suggest that ASD participants were impaired in their ability to form a stable, invariant face representation [Hill, Schyns, & Akamatsu, 1997] that could be generalized across transformations in the visual input due to changes in orientation and image information.

Second, ASD participants demonstrated a deficit in their ability to discriminate information in the eye region of the face and a preserved ability to discriminate information in the mouth region. The difference in upper vs. lower face regions was evident in the face dimensions task where ASD participants showed normal ability to discriminate featural and configural differences in the mouth, but were reliably compromised in their featural and configural discrimination of the eyes. Similarly, on the parts/whole task, ASD participants were differentially impaired in their recognition of eye parts presented in isolation or in the whole face and displayed spared performance in their part and whole recognition of the mouth.

The perceptual bias toward the mouth features is consistent with the clinical profile and the behavioral evidence indicating that individuals with autism attend to the mouth and avert their gaze away from the eyes during social interaction. The sparing of mouth perception demonstrates that individuals with ASD do not present a global impairment of face perception, but a selective impairment that is restricted to the eyes. A similar pattern of sparing and deficit has recently been identified in patients with prosopagnosia (i.e., a selective loss of face recognition abilities due to brain damage). While these patients are severely impaired in their recognition of familiar faces (e.g., well-known celebrities, friends and family members) and are severely impaired in discriminations in the eye region [Bukach, LeGrand, Kaiser, Bub, & Tanaka, 2008; Rossion, Le Grand, Kaiser, Bub, & Tanaka, 2008], they show a normal ability to discriminate information in the mouth. It has been hypothesized that individuals with autism fail to look at the eyes of other people due to a disinterest in social engagement or feelings of threat. It is provocative that individuals with autism and patients with prosopagnosia experience similar deficiencies in eye discrimination and are both compromised in their face recognition skills.

Finally, we found that individuals with autism, like the neurotypical control participants, showed normal holistic recognition of faces. In the tested parts/whole paradigm, the presence of holistic recognition is measured by improved identification of a face part when it is presented in the context of the whole face relative to when it is presented by itself [Tanaka & Farah, 1993, 2003]. Here, individuals with autism showed better recognition of the part in the whole face than in isolation suggesting that individuals with ASD are integrating face features into a unitary holistic face representation. In contrast to other studies that showed either no holistic recognition of the eyes [Joseph & Tanaka, 2002] or holistic recognition only when the eyes are cued [Lopez et al., 2004], the current study demonstrated holistic eye recognition in the absence of a cueing manipulation. The parts/whole findings from the larger sample tested in this study coupled with results from the face inversion and face composite task [Teunisse & de Gelder, 2003] indicate that individuals with ASD exhibit normal holistic face processes.

Crucially, the deficits identified for faces were not found when the same tasks were tested for non-face objects. Specifically, ASD participants performed equally as well as non-ASD participants when asked to recognize automobiles across changes in viewpoint. More striking were the results from the house dimensions task in which ASD participants showed superior discrimination of featural and configural information in house stimuli relative to control participants. Thus, when task demands were held constant, the same perceptual and cognitive computations subserving normal or even superior object processes were compromised when applied to faces.

Results from the *LFI!* Skills Battery showed that ASD participants were impaired on face tasks requiring recognition of identity across changes in expression, orientation and featural information and discrimination of featural and configural face information. The face deficits were substantial as indicated by the magnitude of effect sizes that ranged from moderate to large (see Table II) and were perhaps as great as any other rigorously documented group difference in the autism literature. With respect to their non-face-processing abilities, ASD participants showed normal recognition of cars and even superior discrimination of houses. These results suggest that contrary to the local bias view [Jemel et al., 2006], it is not the level of perceptual analysis that differentiated ASD from non-ASD participants, but the category of the stimulus. This distinction was most evident in the House and Face Dimension Tests where ASD participants showed a processing advantage for detecting local featural and configural differences in houses, but a compromised ability to detect a similar level of local featural and configural differences in the eyes. Hence,

ASD participants exhibited a local-level *advantage* for non-face house stimuli and a local-level *deficit* for faces.

In conclusion, the *LFI!* Skills Battery provides a comprehensive set of measures for assessing the recognition of face identity. The *LFI!* Skills Battery has many potential applications as a research tool, including the use in diagnosing face-processing skills in a variety of clinical populations who may have social impairments (e.g., developmental prosopagnosia, schizophrenia, social anxiety, etc.). The battery may also be useful in evaluating the effectiveness of social skill interventions; as such, we are presently completing a study evaluating the effectiveness of a face-processing intervention that we have developed, utilizing this battery as an outcome measure. Finally, the battery could be an important clinical tool for use in identifying target areas for intervention.

### Acknowledgment

Robert T. Schultz is now at the University of Pennsylvania, Cheryl Klaiman at the Children's Health Council, Mikle South at the Brigham Young University and Martha D. Kaiser at the Rutgers University. We would like to thank Dr. Robert Joseph for use of his stimuli for the Parts/Wholes Identity Task. The authors thank the following individuals who were instrumental in software programming, data collection and/or data entry: Sherin Stahl, Jennifer Hetzke, Diane Goudreau, Dave Swanson, Zena Rittenhouse, Megan Myers, Andy Auerbach, Daniel Grupe and Malia Wong. We also thank the participants and their families who made this research possible. Online access to the *Let's Face It!* Skills Battery can be obtained by contacting James Tanaka.

### References

- American Psychiatric Association (2000). Diagnostic and statistical manual of mental disorders, 4e, text revision. Washington, DC: American Psychiatric Association.
- Behrmann, M., Avidan, G., Leonard, G.L., Kimchi, R., Luna, B., et al. (2006). Configural processing in autism and its relationship to face processing. *Neuropsychologia*, 44, 110–129.
- Behrmann, M., Thomas, C., & Humphreys, K. (2006). Seeing it differently: Visual processing in autism. *Trends in Cognitive Science*, 10, 258–264.
- Blair, R.J., Frith, U., Smith, N., Abell, F., & Cipolotti, L. (2002). Fractionation of visual memory: Agency detection and its impairment in autism. *Neuropsychologia*, 40, 108–118.
- Boucher, J., & Lewis, V. (1992). Unfamiliar face recognition in relatively able autistic children. *Journal of Child Psychology and Psychiatry and Allied Disciplines*, 33, 843–859.
- Bukach, C.M., LeGrand, R., Kaiser, M., Bub, D., & Tanaka, J.W. (2008). Preservation of featural and configural processing for

- the mouth region in a case of prosopagnosia. *Journal of Neuropsychology*, 2, 227–244.
- Caron, M.J., Mottron, L., Berthiaume, C., & Dawson, M. (2006). Cognitive mechanisms, specificity and neural underpinnings of visuospatial peaks in autism. *Brain*, 129, 1789–1802.
- Castelli, F. (2005). Understanding emotions from standardized facial expressions in autism and normal development. *Autism*, 9, 428–449.
- Deruelle, C., Rondan, C., Gepner, B., & Tardif, C. (2004). Spatial frequency and face processing in children with autism and Asperger syndrome. *Journal of Autism and Developmental Disorders*, 34, 199–210.
- Elliott, C.D. (1990). *Differential Ability Scales (DAS)*. San Antonio, TX: Psychological Corporation.
- Gadow, K.D., & Sprafkin, J. (1994). *Child Symptom Inventories manual*. Stony Brook, NY: Checkmate Plus.
- Gepner, B., de Gelder, B., & de Schonen, S. (1996). Face processing in autistics: Evidence for a generalized deficit? *Child Neuropsychology*, 2, 123–139.
- Gross, T.F. (2004). The perception of four basic emotions in human and nonhuman faces by children with autism and other developmental disabilities. *Journal of Abnormal Child Psychology*, 32, 469–480.
- Hauck, M., Fein, D., Maltby, N., Waterhouse, L., & Feinstein, C. (1998). Memory for faces in children with autism. *Child Neuropsychology*, 4, 187–198.
- Hill, H., Schyns, P.G., & Akamatsu, S. (1997). Information and viewpoint dependence in face recognition. *Cognition*, 62, 201–222.
- Hobson, R., Ouston, J., & Lee, A. (1988). What's in a face? The case of autism. *British Journal of Psychology*, 79, 441–453.
- Jemel, B., Mottron, L., & Dawson, M. (2006). Impaired face processing in autism: Fact or artifact? *Journal of Autism and Developmental Disorders*, 36, 91–106.
- Joseph, R.M., & Tanaka, J. (2003). Holistic and part-based face recognition in children with autism. *Journal of Child Psychology and Psychiatry and Allied Disciplines*, 44, 529–542.
- Klin, A., Jones, W., Schultz, R., Volkmar, F., & Cohen, D. (2002a). Visual fixation patterns during viewing of naturalistic social situations as predictors of social competence in individuals with autism. *Archives of General Psychiatry*, 59, 809–816.
- Klin, A., Jones, W., Schultz, R., Volkmar, F., & Cohen, D. (2002b). Defining and quantifying the social phenotype in autism. *American Journal of Psychiatry*, 159, 895–908.
- Klin, A., Sparrow, S.S., de Bildt, A., Cicchetti, D.V., Cohen, D.J., & Volkmar, F.R. (1999). A normed study of face recognition in autism and related disorders. *Journal of Autism and Developmental Disorders*, 29, 499–508.
- Langdell, T. (1978). Recognition of faces: An approach to the study of autism. *Journal of Child Psychology and Psychiatry and Allied Disciplines*, 19, 255–268.
- Lopez, B., Donnelly, N., Hadwin, J.A., & Leekam, S.R. (2004). Face processing in high-functioning adolescents with autism: Evidence for weak central coherence. *Visual Cognition*, 11, 673–688.
- Lord, C., Rutter, M., DiLavore, P.C., & Risi, S. (1999). *Autism Diagnostic Observation Schedule (ADOS)*. Los Angeles, CA: Western Psychological Services.
- Lundqvist, D., Flykt, A., & Öhman, A. (1998). *The Karolinska Directed Emotional Faces-KDEF [CD-ROM]*. Department of Clinical Neuroscience, Psychology section, Karolinska Institutet, Stockholm, Sweden.
- Mondloch, C.J., Le Grand, R., & Maurer, D. (2002). Configural face processing develops more slowly than featural face processing. *Perception*, 31, 553–566.
- Ozonoff, S., Pennington, B., & Rogers, S. (1991). Executive function deficits in high-functioning autistic individuals: Relationship to theory-of-mind. *Journal of Child Psychology and Psychiatry and Allied Disciplines*, 32, 1081–1105.
- Pelphrey, K.A., Sasson, N.J., Reznick, J.S., Paul, G., Goldman, B.D., & Piven, J. (2002). Visual scanning of faces in autism. *Journal of Autism and Developmental Disorders*, 32, 249–261.
- Plaisted, K., O'Riordan, M., & Baron-Cohen, S. (1998). Enhanced discrimination of novel, highly similar stimuli by adults with autism during a perceptual learning task. *Journal of Child Psychology and Psychiatry and Allied Disciplines*, 39, 765–775.
- Riby, D.M., Doherty-Sneddon, G., & Bruce, V. (2008). The eyes or the mouth? Feature salience and unfamiliar face processing in Williams syndrome and autism. *The Quarterly Journal of Experimental Psychology*, in press.
- Rinehart, N.J., Bradshaw, J.L., Moss, S.A., Brereton, A.V., & Tonge, B.J. (2000). Atypical interference of local detail on global processing in high-functioning autism and Asperger's disorder. *Journal of Child Psychology and Psychiatry and Allied Disciplines*, 41, 769–778.
- Rossion, B., Le Grand, R., Kaiser, M., Bub, D., & Tanaka, J.W. (2008). Preserved discrimination of mouth information in patient PS. *Journal of Neuropsychology*, in press.
- Rutherford, M.D., Clements, K.A., & Sekuler, A.B. (2007). Differences in discrimination of eye and mouth displacement in autism spectrum disorders. *Vision Research*, 47, 2099–2110.
- Rutter, M., LeCouteur, A., & Lord, C. (2003). *Autism Diagnostic Interview—Revised*. Los Angeles, CA: Western Psychological Services.
- Spezio, M.L., Adolphs, R., Hurley, R.S., & Piven, J. (2007a). Abnormal use of facial information in high-functioning autism. *Journal of Autism and Developmental Disorders*, 37, 929–939.
- Spezio, M.L., Adolphs, R., Hurley, R.S., & Piven, J. (2007b). Analysis of face gaze in autism using “bubbles”. *Neuropsychologia*, 45, 144–151.
- Tanaka, J.W., & Farah, M.J. (1993). Parts and wholes in face recognition. *The Quarterly Journal of Experimental Psychology*, 46A, 225–245.
- Tanaka, J.W., & Farah, M.J. (2003). Holistic face recognition. In: Peterson, M., & Rhodes, G, editors. *Analytic and holistic processes in the perception of faces, objects and scenes* (Vol. 2, pp. 53–91). New York: University of Oxford Press.
- Tanaka, J.W., Lincoln, S., & Hegg, L. (2003). A framework for the study and treatment of face processing deficits in autism. In: Leder, H., & Swartz, G, editors. *The development of face processing* (pp. 101–119). Berlin: Hogrefe Publishers.
- Tanaka, J.W. & Schultz, R.T. (2008). *The Let's Face It! Skills Battery*. Unpublished assessment, University of Victoria, BC, Canada.
- Tantam, D., Monaghan, L., Nicholson, H., & Stirling, J. (1989). Autistic children's ability to interpret faces: A research note.

- Journal of Child Psychology and Psychiatry and Allied Disciplines, 30, 623–630.
- Teunisse, J.P., & de Gelder, B. (2003). Face processing in adolescents with autistic disorder: The inversion and composite effects. *Brain and Cognition*, 52, 285–294.
- Wallace, S., Coleman, M., & Bailey, A. (2008). Face and object processing in autism spectrum disorders. *Autism Research*, 1, 43–51.
- Wechsler, D. (1991). *Wechsler Intelligence Scale for Children—Third Edition*. San Antonio, TX: Psychological Corporation.
- Wechsler, D. (1997). *Wechsler Adult Intelligence Scale—Third Edition*. San Antonio, TX: Psychological Corporation.
- Wechsler, D. (1999). *Wechsler Abbreviated Scale of Intelligence*. San Antonio, TX: Psychological Corporation.