

Algorithms and lower bounds for de-Morgan formulas of low-communication leaf gates

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Outline

- Background
- Circuit model : $Formula[s] \circ \mathcal{G}$
- Prior work
- Results
 - Lower bounds
 - PRG's
 - SAT algorithm's
 - Learning algorithms
- Overview of the lower bound technique

Parallel vs Sequential computation

- Most of linear algebra can be done in parallel
- Gaussian elimination is an outlier
 - Intuitively its an inherently sequential procedure
 - There are theoretical reasons to believe so
 - There is an efficient sequential algorithm

P vs NC¹

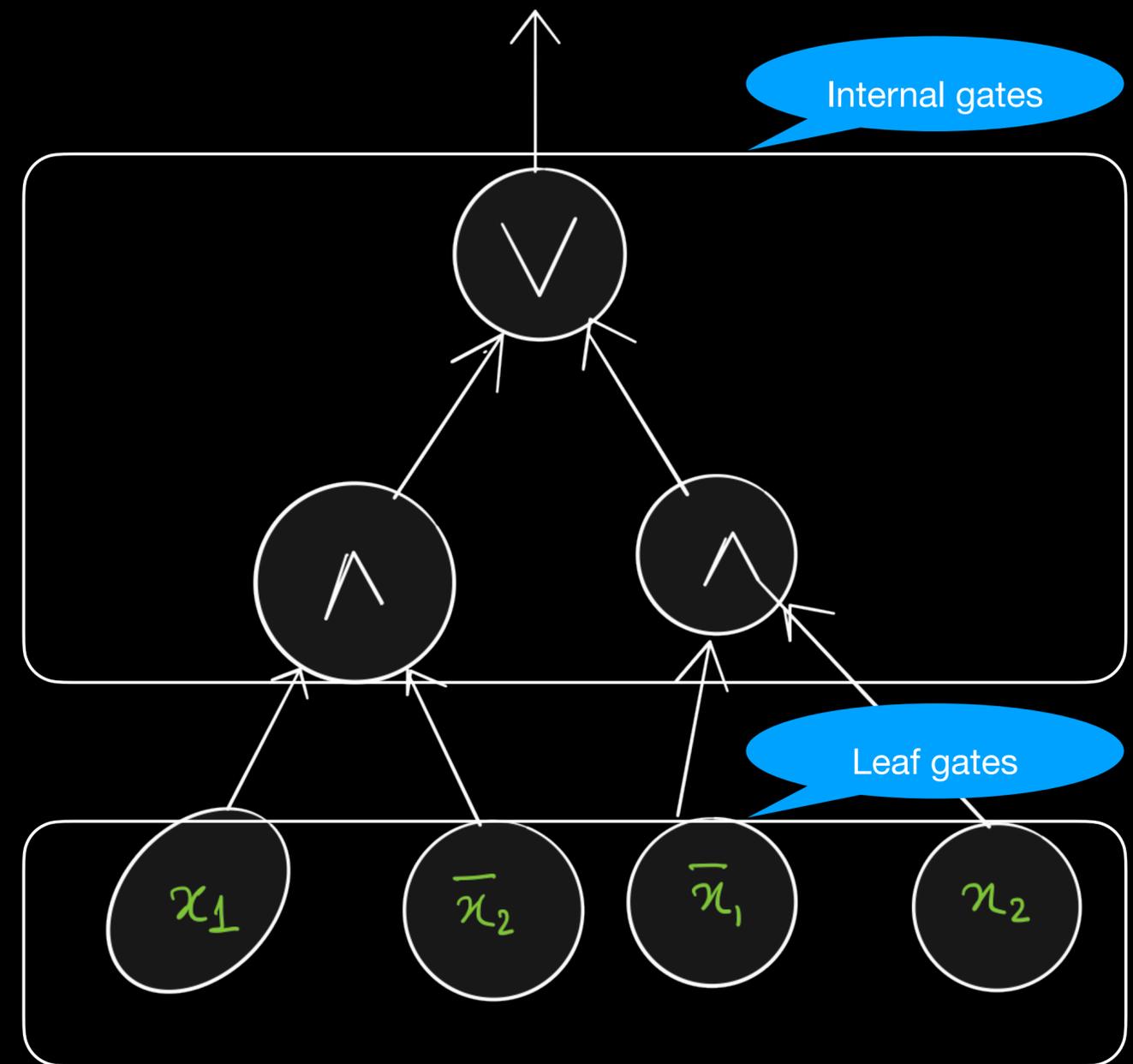
Class P of poly-time solvable problems

Are there **problems** with **efficient sequential algorithms** which do not have **efficient parallel algorithms** ?

Modeled as circuits

Circuit complexity

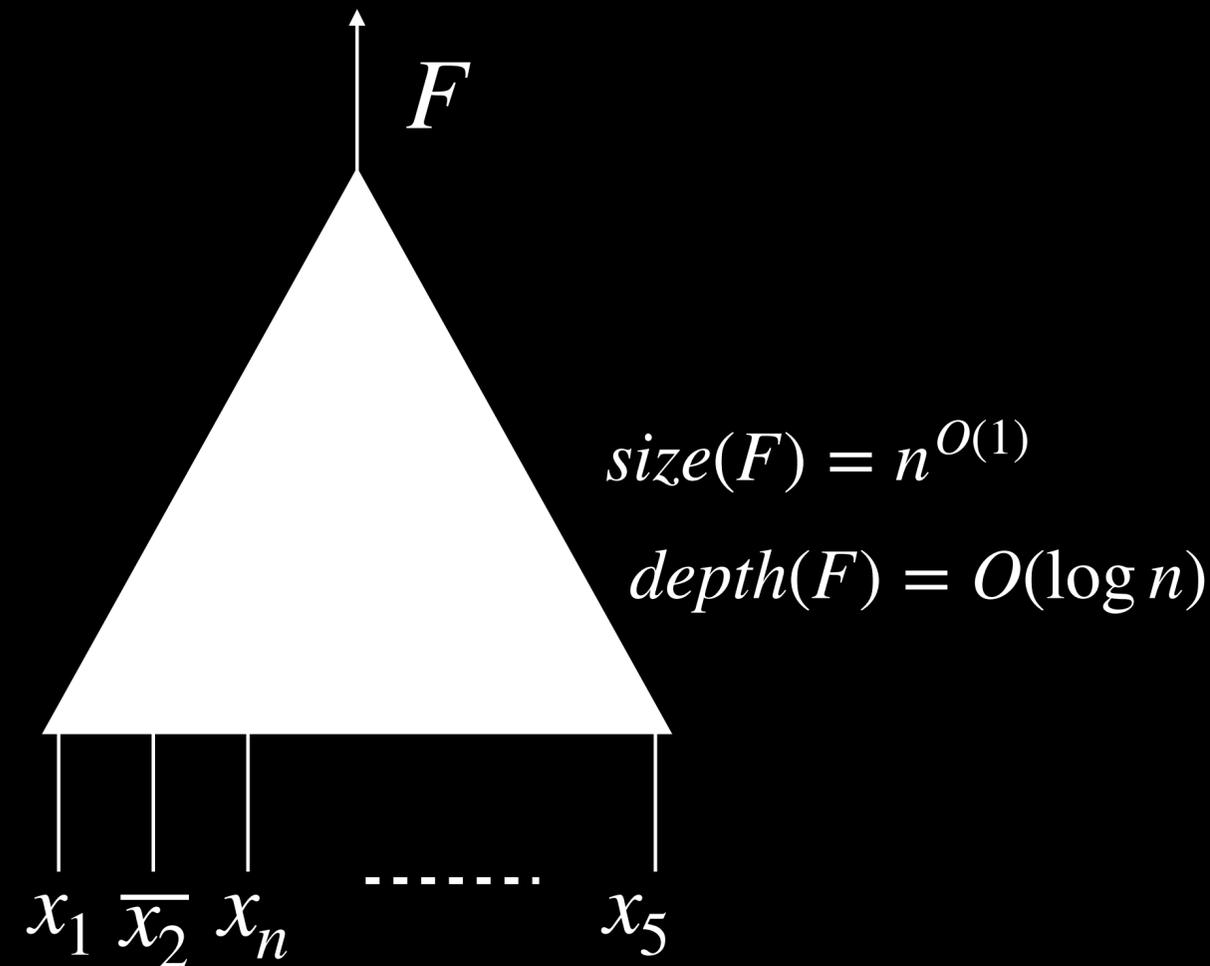
- Complexity parameters :
 - Size : # of gates
 - Depth : length of the longest path from root to leaf
 - Fan in : 2, Fan out
- Formulas :
 - Underlying DAG is a tree
 - No reuse of computation
 - Depth = $\log(\text{Size})$



Circuit complexity

Class NC^1 = Poly-Size Formulas

- Efficient parallel computation (formally CREW PRAM):
 - Polynomially many processors
 - Logarithmic computation time



In formula, $depth(F) = O(\log size(F))$

Circuit complexity

P vs NC^1 rephrased

- A Boolean function f (candidates: Perfect matching, Gaussian elimination etc)
 - That can be computed in poly-time ($f \in P$)
 - Any de-Morgan formula computing it has super-poly size ($f \notin NC^1$)

P vs NC¹

State of the art

- Andreev'87 : $\Omega(n^{2.5-o(1)})$ for a function in P called the Andreev function
- Also, Andreev'87 : $\Omega(n^{1+\Gamma-o(1)})$, where Γ is the shrinkage exponent
- Paterson and Zwick'93 : $\Gamma \geq 1.63$
- Hastad'98 (breakthrough) : $\Gamma \geq 2 - o(1)$
- Tal'14 : $\Gamma = 2$

- Best l.b. for Andreev's function (Tal'14) : $\Omega\left(\frac{n^3}{\log^2 n \log \log n}\right)$

- Best l.b. for a function in P (Tal'16) : $\Omega\left(\frac{n^3}{\log n (\log \log n)^2}\right)$

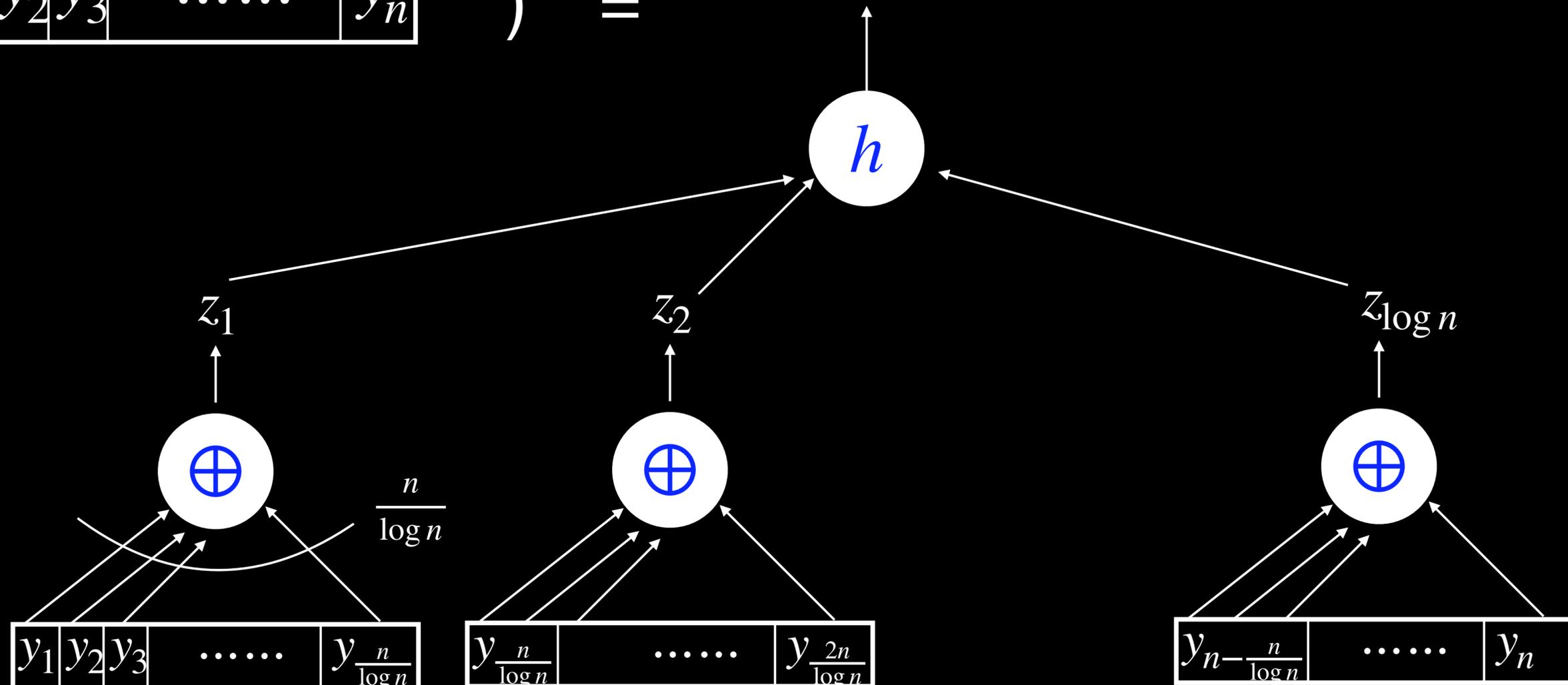
Cubic formula lower bounds

Andreev's function

$$f \left(\boxed{x_1 \mid x_2 \mid x_3 \mid \dots \mid x_n} \right),$$

Truth Table of a $\log n$ bit function h ($2^{\log n} = n$)

$$\boxed{y_1 \mid y_2 \mid y_3 \mid \dots \mid y_n} \Big) =$$



Cubic formula lower bounds

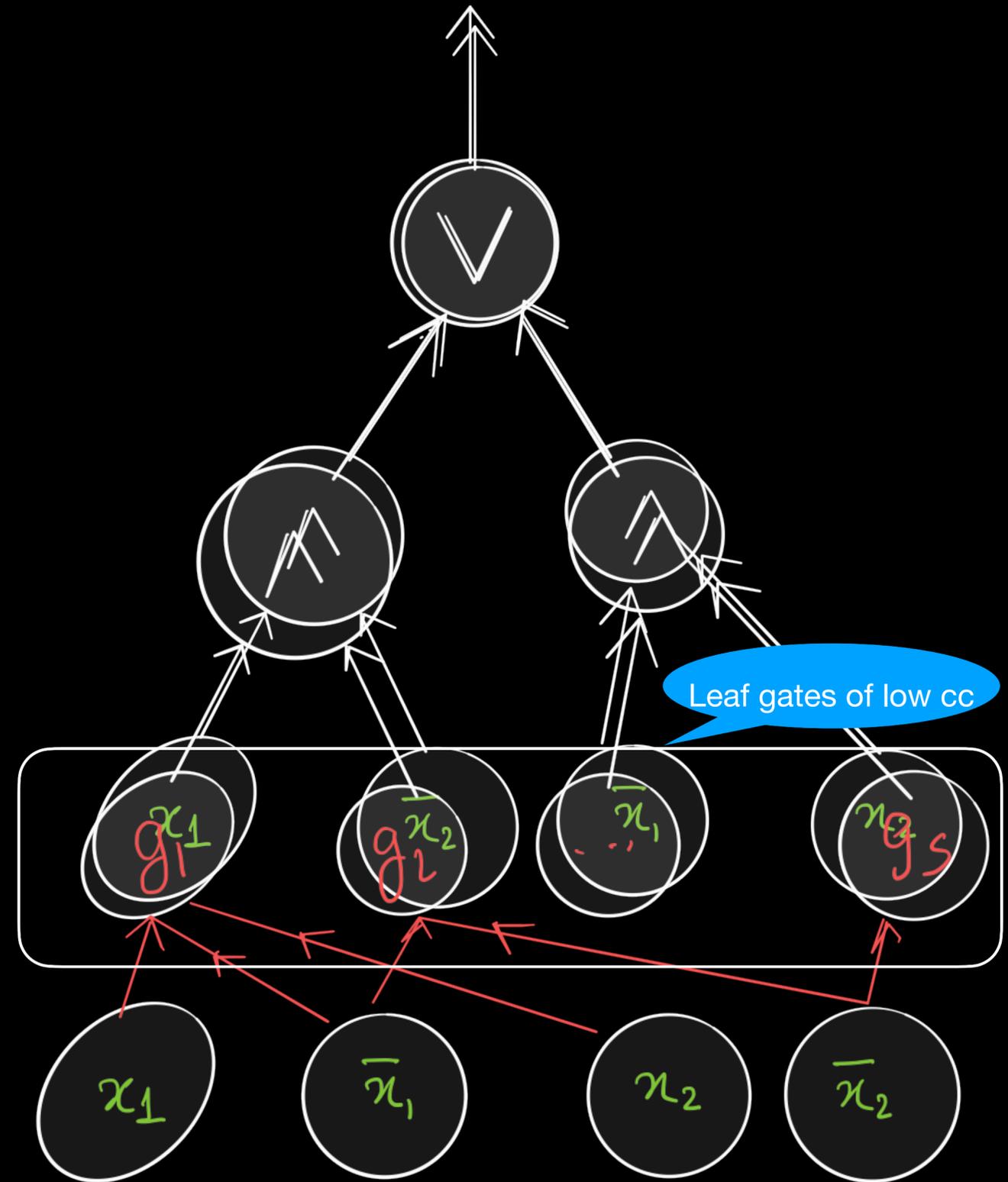
Hastad's result

- (Tal'14) : $\Omega\left(\frac{n^3}{\log^2 n \log \log n}\right)$
- Doesn't work if there are parity gates at bottom

Our Model

Augmenting de-Morgan formulas

- de-Morgan formulas : leaf gates, input literals
- Our model : leaf gates, low communication functions



Our model

Reformulation

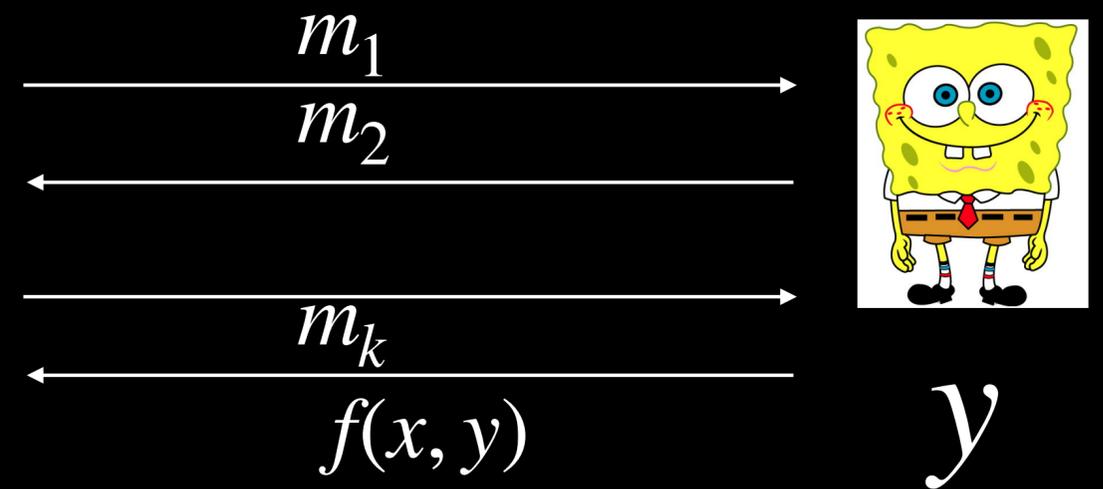
- $Formula[s] \circ \mathcal{G}$
 - Size s de-Morgan formula
 - \mathcal{G} : A family of Boolean functions
 - Leaf gates are functions $g \in \mathcal{G}$
- Our model :
 - \mathcal{G} - low communication complexity Boolean functions
 - $s = \tilde{O}(n^2)$

Communication complexity

- Yao's 2-party model
 - Input divided into 2 parts x, y
 - Goal : compute $f(x, y)$ with minimal communication



x



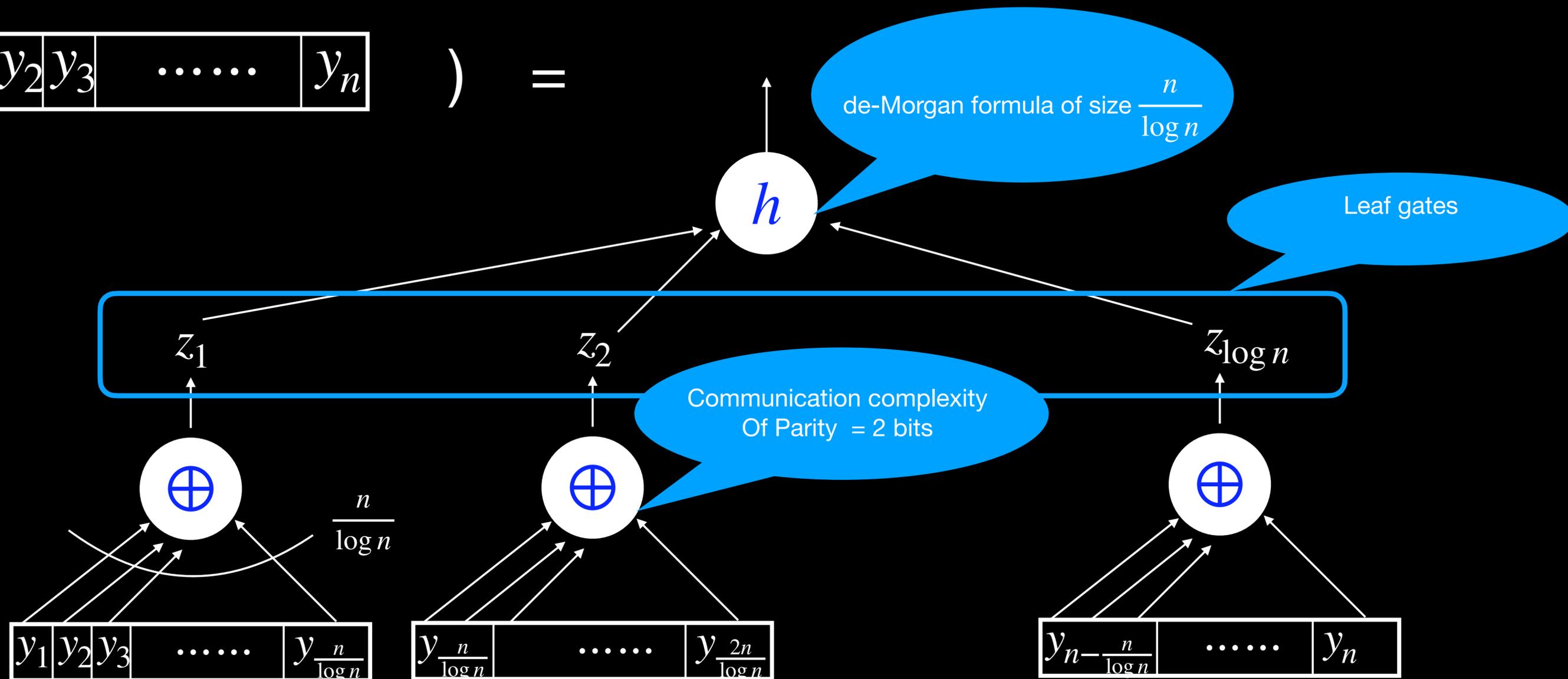
Our model

Complexity of Andreev's function

$$f \left(\begin{array}{|c|c|c|c|} \hline x_1 & x_2 & x_3 & \dots\dots\dots \\ \hline \end{array} \begin{array}{|c|} \hline x_n \\ \hline \end{array} \right),$$

Truth Table of a $\log n$ bit function h ($2^{\log n} = n$)

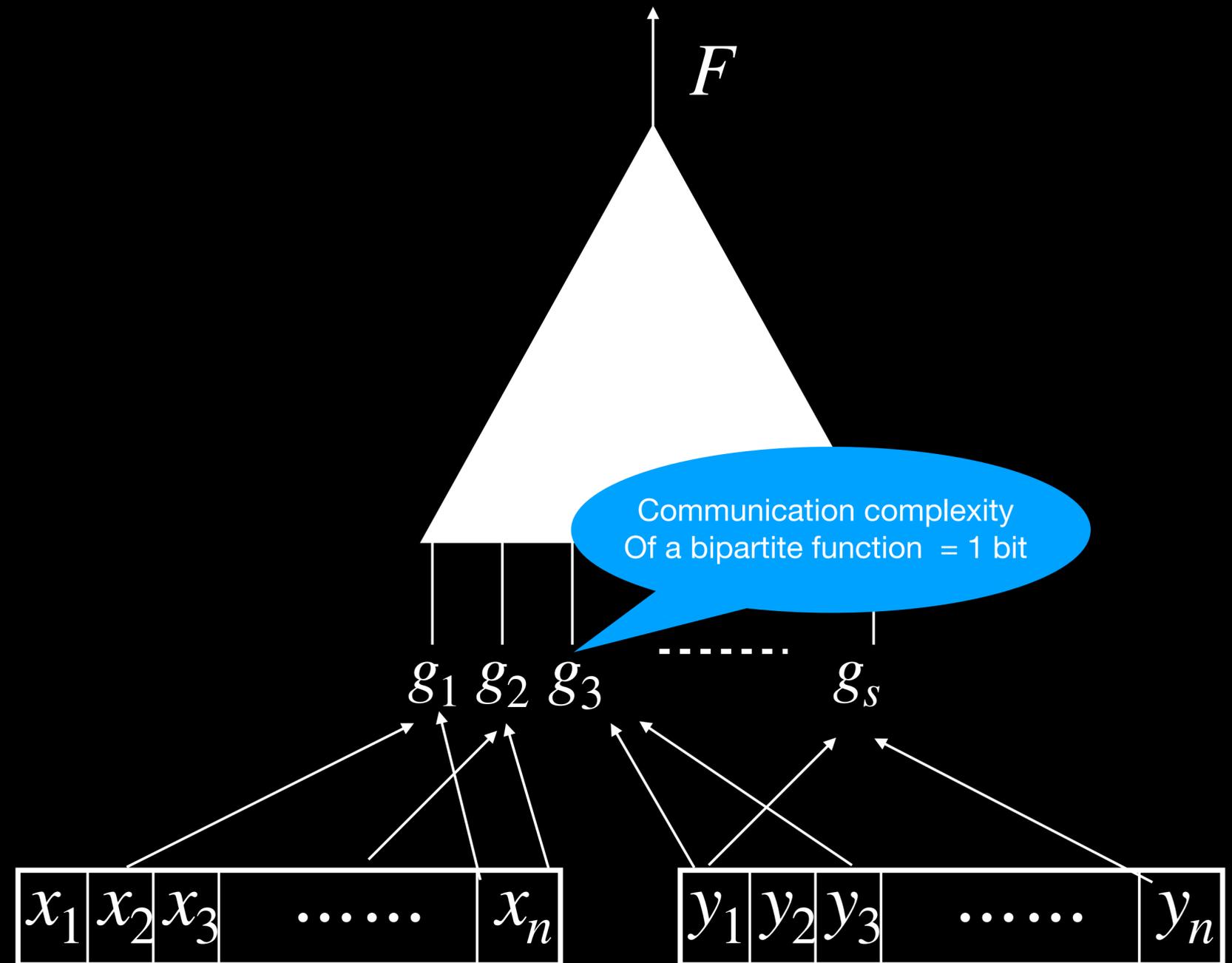
$$\left(\begin{array}{|c|c|c|c|} \hline y_1 & y_2 & y_3 & \dots\dots\dots \\ \hline \end{array} \begin{array}{|c|} \hline y_n \\ \hline \end{array} \right) =$$



Our model

Prior work - Bipartite Formulas

- Input is divided into two parts, x, y
- Every leaf can gate can access any Boolean function of either x or y **but not both**
- Models a well known measure - graph complexity
- Tal'16: Bipartite formula complexity of IP_n is $\tilde{\Omega}(n^2)$
- Earlier methods could not do super linear



Our model

Connection to Hardness Magnification

- $MCSP_N[k]$: Given the truth table of a function f on n bits ($N = 2^n$)
 - Yes : if f has a circuit of size at most k
 - No : otherwise
 - Meta computational problem with connections to Crypto, learning theory, circuit complexity etc
- OPS'19:
 - If there exists an ϵ such that $MCSP_N[2^{o(n)}]$ is not in $Formula[N^{1+\epsilon}] \circ XOR$
 - then, $NP \notin NC^1$

Our model

Connection to PRG for polytopes

- Polytope : AND of LTF's
- LTF : $\text{sign}(w_1x_1 + \dots + w_nx_n - \theta)$
 - $w_1, \dots, w_n, \theta \in \mathbb{R}$
 - Ex : $3x_1 + 4x_2 + 5x_7 \geq 12$
 - Nisan'94 : Randomized communication complexity $O(\log n)$
- PRG's for polytopes : Approximate volume computation

Our model

Interesting low communication bottom gates

- Bipartite functions
- Parities
- LTF's (Linear threshold functions)
- PTF's (Polynomial threshold functions)

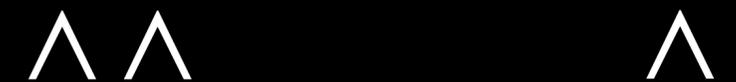
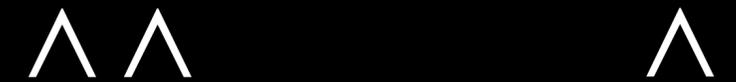
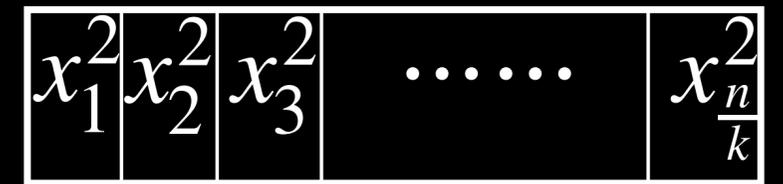
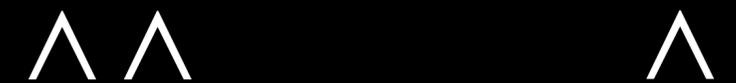
Our results

Target function - Generalized inner product

- Generalization of binary inner product

- $IP_n(x, y) = \sum_{i \in [n]} x_i y_i$

- $GIP_n^k(x^1, x^2, \dots, x^k) = \sum_{i \in [n/k]} \prod_{j \in [k]} x_i^j$



Our results

Lower bound

- Let GIP_n^k be computed on average by $F \in \text{Formula}[s] \circ \mathcal{G}$,
 - That is, $\Pr_x[F(x) = GIP_n^k(x)] \geq 1/2 + \epsilon$
 - Then, $s = \Omega\left(\frac{n^2}{k^2 \cdot 16^k \cdot R_{\epsilon/2n^2}^k(\mathcal{G}) \cdot \log^2(1/\epsilon)}\right)$
 - $R_{\epsilon/2n^2}^k(\mathcal{G})$: Randomized communication of \mathcal{G} with error $\epsilon/2n^2$ in the number on forehead communication complexity model

Our results

MCSP lower bounds

- If $MCSP_N[2^{cn}]$ is computed $Formula[s] \circ XOR$, then $s = \tilde{O}(n^2)$
- Contrast : OPS'19:
 - If there exists an ϵ such that $MCSP_N[2^{o(n)}]$ is not in $Formula[N^{1+\epsilon}] \circ XOR$
 - then, $NP \notin NC^1$
- Our techniques cannot handle $MCSP_N[2^{o(n)}]$

Our results

PRG

- A pseudo random generator G is said to ϵ fool a function class \mathcal{F} if

- $$\left| \Pr_{z \in \{0,1\}^{l(n)}} [f(G(z)) = 1] - \Pr_{x \in \{0,1\}^n} [f(x) = 1] \right| \leq \epsilon$$

- f is any function from \mathcal{F}

- $G : \{0,1\}^{l(n)} \rightarrow \{0,1\}^n$

- z is the seed, $l(n) \lll n$

- Smaller the seed length compared to n the better

Our results

PRG

- Parities at the bottom can make things harder.
 - AC^0 best known PRG seed length $poly(\log n)$
 - $AC^0 \circ XOR$ best known only $(1 - o(1))n$

Our results

PRG

- There is a PRG that ϵ -fools $Formula[s] \circ XOR$
 - Seed length : $O(\sqrt{s} \cdot \log s \cdot \log(1/\epsilon) + \log n)$
 - Seed length is optimal, unless lower bound can be improved

Our results

PRG

- Natural generalization to $Formula[s] \circ \mathcal{G}$
- There is a PRG that ϵ -fools $Formula[s] \circ \mathcal{G}$
 - Seed length : $n/k + O(\sqrt{s} \cdot (R_{\epsilon/6s}^{k-NIH}(\mathcal{G}) + \log s) \cdot \log(1/\epsilon) + \log k) \cdot \log k$
 - Number in hand

Our results

PRG - Corollaries

- (Ours + Vio15) : There is a PRG
 - Seed length : $O(n^{1/2} \cdot m^{1/4} \cdot \log n \cdot \log(n/\epsilon))$
 - ϵ -fools intersection of m halfspaces over $\{0,1\}^n$
 - Our results beats earlier results when $m = O(n)$ and $\epsilon \leq 1/n$

Our results

PRG - Corollaries

- There is a PRG
 - Seed length : $O(n^{1/2} \cdot s^{1/4} \cdot \log n \cdot \log(n/\epsilon))$
 - ϵ -fools $Formula[s] \circ SYM$
 - First of its kind
 - Blackbox counting algorithm (Whitebox due to CW19)

Our results

SAT Algorithm

- Given circuit class \mathcal{C}
 - Circuit SAT : Given $C \in \mathcal{C}$, is there an x , $C(x) = 1$
 - #Circuit SAT : Given $C \in \mathcal{C}$, how many x , $C(x) = 1$

Our results

SAT Algorithm

- Randomized #SAT algorithm for $Formula[s] \circ \mathcal{G}$

- Running time 2^{n-t}

- $t = \Omega \left(\frac{n}{\sqrt{s} \cdot \log^2 s \cdot R_{1/3}^2(\mathcal{G})} \right)$


- First of its kind #SAT for unbounded depth Boolean circuits with PTF's at the bottom

Our results

Learning algorithm

- There is PAC-learning algorithm
 - Learns $Formula[n^{2-\gamma}] \circ XOR$
 - Accuracy : ϵ , Confidence : δ
 - Time complexity : $poly(2^{n/\log n}, 1/\epsilon, \log(1/\delta))$
- $Formula[n^{2-\gamma}]$ can be learned in $2^{o(n)}$ [Rei11]
- Crypto connection:
 - $MOD_3 \circ XOR$ is assumed to compute PRFs (BIP+18)
 - If true, $Formula[n^{2.8}] \circ XOR$ can't be learned in $2^{o(n)}$ time

Lower bound technique

Outline

- GIP_n^k cannot even be weakly approximated by low communication complexity functions
- Weakness of $Formula[s] \circ \mathcal{G}$: Size s formula can be “approximated” by degree \sqrt{s} polynomial
- GIP_n^k is weakly approximated by a collection of leaf gates

Lower bound technique

Part I

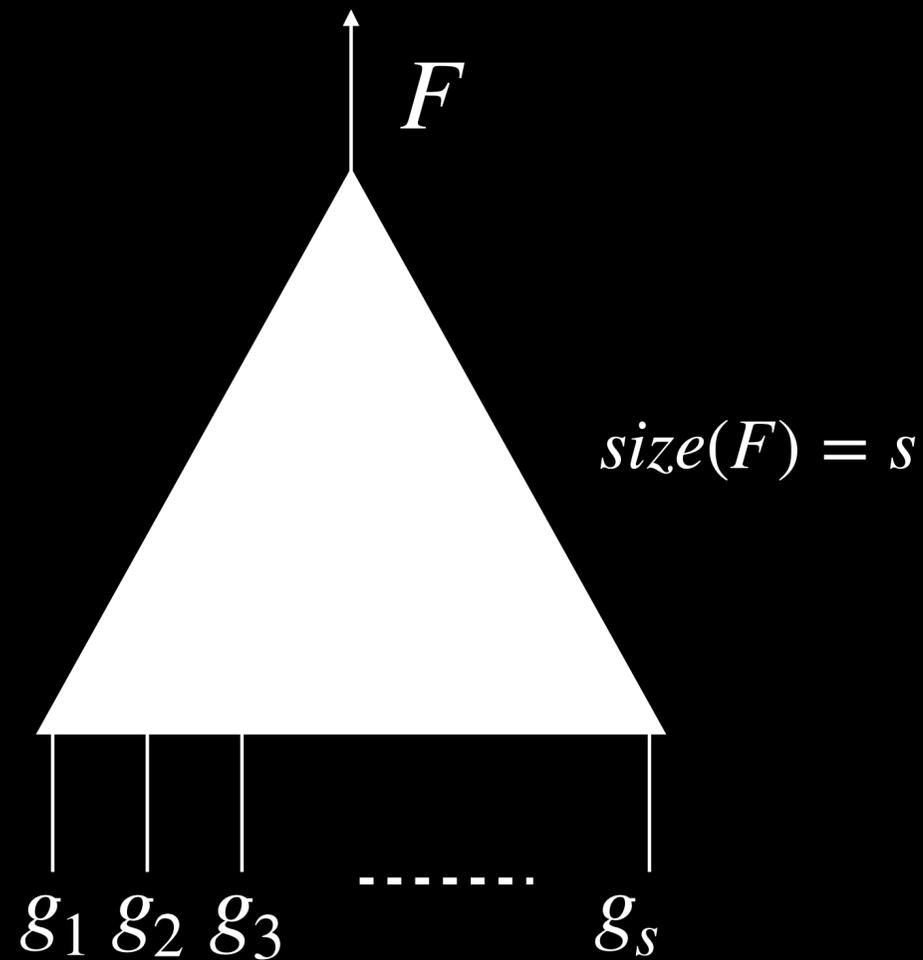
- GIP_n^k cannot even be weakly approximated by low communication complexity functions
- In the number on forehead model
 - Protocol computes GIP_n^k with error ϵ (uniform distribution)
 - Then $\text{commn.comp} > n/4^k - \log(1/(1 - 2\epsilon))$

Lower bound technique

Part II

- Weakness of $Formula[s] \circ \mathcal{G}$: Size s formula can be “approximated” by degree \sqrt{s} polynomial
- Reichardt’11 : Approximation of Boolean formulas by Polynomials
 - $F(y_1, \dots, y_m)$ be a formula of size s
 - There is a real polynomial $p(y_1, \dots, y_m)$ of degree $O(\sqrt{s})$
 - For every $y \in \{0,1\}^m$, $|F(a) - p(a)| \leq 1/10$
- Fact : For any $0 < \epsilon < 1$, $\widetilde{deg}_\epsilon(f) \leq \widetilde{deg}(f) \cdot \log(1/\epsilon)$
- Corollary : For any formula F of size s , $\widetilde{deg}_\epsilon(F) \leq \sqrt{s} \cdot \log(1/\epsilon)$

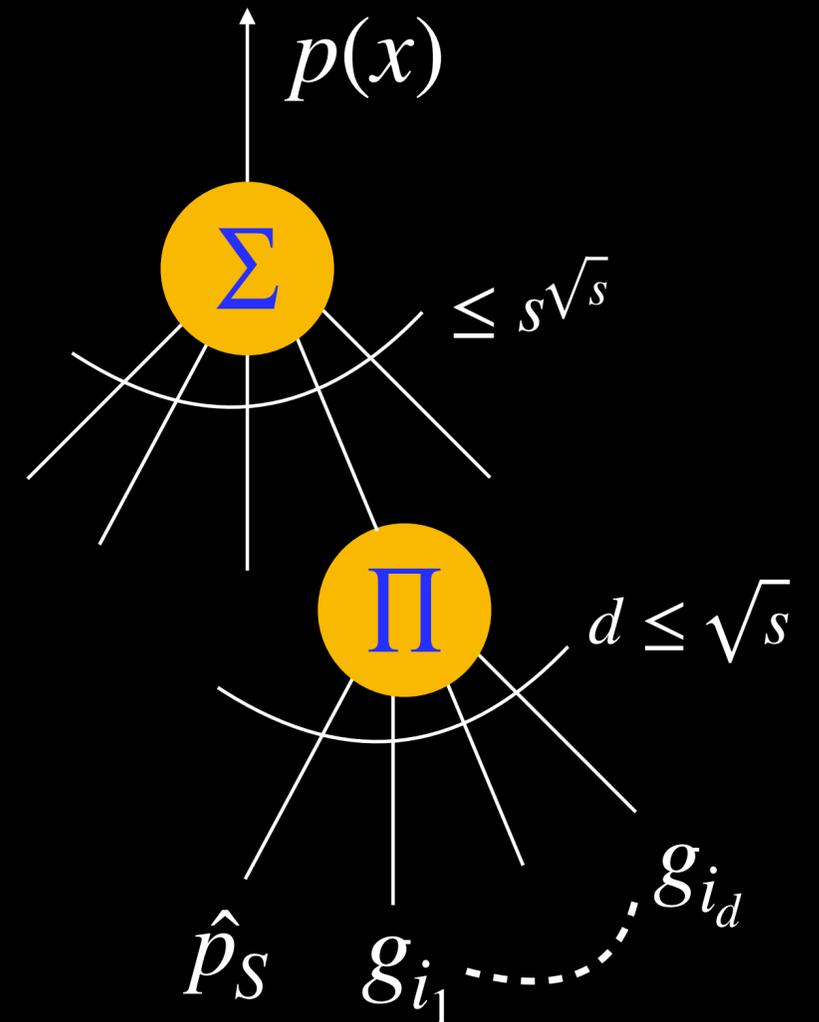
Lower bound - proof sketch



Reichardt '2011

$$\forall x \in \{0,1\}^n, |F(x) - p(x)| \leq \epsilon$$

$$deg(p) \leq \sqrt{s}$$



- F correlates well (ϵ) with p

- F correlates well ($\frac{1}{s\sqrt{s}}$) with a monomial ($\hat{p}_S \prod_{j \in [S], |S| \leq \sqrt{s}} g_{i_j}$)

- Since each g_i has low communication complexity, so does

$$\prod_{j \in [S], |S| \leq \sqrt{s}} g_{i_j}$$

- F correlated well with the target function f , thus it correlates well with the monomial (a low communication function) !!!!!!!

Limitations of our approach

- To get better lower bounds, find a smaller degree approximating polynomial
- Approximate degree bound of Reichardt (\sqrt{s}) cannot be improved
 - AND_n function can be computed by a size n de-Morgan formula
 - Approximate degree of AND_n is $\theta(\sqrt{n})$

Future directions

- Extend lower bounds to $\text{Formula}[s] \circ \mathcal{G}$ when $s = \omega(n^2)$
- Design a PRG of seed length $n^{o(1)}$ and error $\epsilon \leq 1/n$ for intersection of n half spaces
- Learn $\text{Formula}[s] \circ \text{XOR}$ in time $2^{\tilde{O}(\sqrt{s})}$

Thank you

Questions?